

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

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Springfield, Illinois 62704-4503
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James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
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**EXECUTIVE DIRECTOR
Steven S. Sandvoss**

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

**AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Monday, May 18, 2015
10:30 a.m.**

2329 S. MacArthur Blvd.
Springfield, Illinois
and via videoconference
James R. Thompson Center – Suite 14-100
Chicago, Illinois

Roll call.

1. Approval of the minutes from the April 21 meeting. (pgs.1-5)
2. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for reconsideration
 - 1) *SBE v. Citizens for Judge John B. Simon*, 25413, 14AS053; (pgs.6-13)
Request for settlement offer
 - 2) *SBE v. Macoupin County Democrat Party*, 62, 15AD002; (pgs.14-15)
 - 3) *SBE v. Norwood Park Township Democratic Organization*, 566, 14SQ008; (pgs.16-17)
 - 4) *SBE v. Warren County Republican Women*, 5559, 15DQ011; (pgs.18-19)
 - 5) *SBE v. MC PAC*, 17797, 14SQ042; (pgs.20-21)Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
 - 6) *SBE v. Cook County Democratic Party*, 163, 14AS002; (pgs.22-28)
 - 7) *SBE v. Vermilion County Republican Central Committee*, 319, 15MA002; (pgs.29-32)
 - 8) *SBE v. Citizens for McCoy*, 16856, 14AS024; (pgs.33-37)Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
 - 9) *SBE v. Citizens to Elect Randy Ramey*, 16628, 14SQ036; (pgs.38-40)
 - 10) *SBE v. Friends of Dart*, 19319, 14AS028; (pgs.41-45)
 - 11) *SBE v. Chicago Latino Public Affairs Committee*, 22755, 15MA019; (pgs.46-52)
 - 12) *SBE v. The Chicago Committee*, 23526, 14AM084; (pgs.53-56)
 - 13) *SBE v. Citizens to Elect Caroline Kennedy Elkins*, 25592, 14MA029; (pgs.57-61)
 - 14) *SBE v. Carol Ammons for State Representative*, 25651, 15AD043; (pgs.62-71)Complaints following public hearing - hearing officer recommendation complaints be upheld and issue a Board order requiring the delinquent report(s) be filed within 30 days of the order or face a fine up to \$5,000
 - 15) *SBE v. ERA PAC*, 14CD118; (pgs.72-74)
 - 16) *SBE v. Citizens for Leys*, 14CD128; (pgs.75-77)
 - 17) *SBE v. Citizens for Colvin*, 14CD129; (pgs.78-79)

- 18) *SBE v. Boaters PAC, NFP*, 14CD132; (pgs.80-82)
- 19) *SBE v. Boone County Young Republican Club*, 14CD141; (pgs.83-85)
- 20) *SBE v. Citizens for Tyler Smith*, 14CD143; (pgs.86-88)
- Other campaign disclosure items
- 21) Assessments/Board Orders; (pg.89)
- 22) Payment of civil penalties – informational; (pgs.90-91)
- 23) *Reyes v. First Ward Organization*, 14CD005 & *Reyes v. 1st Ward Democratic Committeeman Fund*, 14CD006. (pgs.92-96)

Complaints following closed preliminary hearing (separate packet)

- 24) *Lopez v. Davis, Jr.*, 15CD005; (pgs.1-24)
 - 25) *Lopez v. Davis, Jr.*, 15CD006; (pgs.25-35)
 - 26) *Albers v. Action Party of Morton Grove*, 15CD008 & 15CD009; (pgs.36-56)
 - 27) *Lupescu v. Friends of Bob Streit*, 15CD010; (pgs.57-101)
 - 28) *Brunner v. Mark Albers for Morton Grove*, 15CD011; (pgs.102-119)
 - b. Consideration of HAVA complaints – 15HAVA1 & 15HAVA2. (separate cover)
3. Report of the Executive Director
- a. Certification of the ballot for the July 7, 2015 Special Election in the 18th Congressional District;
 - b. Advisory Committee Meeting – informational; (pgs.97-98)
 - c. Legislative update; (oral report)
 - d. Senate Bill 172 update; (pg.99)
 - e. Six month review of executive session minutes; (pg.100)
 - f. U.S. Election Assistance Commission Standards Board Meeting – informational; (pgs.101-105)
 - g. Fiscal status reports – informational;
 - 1) FY15 – month ending April 30; (pgs.106-113)
 - 2) FY15 – Help Illinois Vote Fund; (pgs.114-119)
 - h. Two year plan of staff activity for the months of May & June – informational. (pgs.120-122)
4. Follow up. (pg.123)
5. Comments from the general public. (pg.123)
6. Next Board Meeting scheduled for Tuesday, June 16, 2015 in Chicago. (pg.123)
7. Executive session. (pgs.124-135)

STATE BOARD OF ELECTIONS
Chicago, Illinois
Tuesday, April 21, 2015

MINUTES

PRESENT: Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers, Member
Ernest L. Gowen, Member
Betty J. Coffrin, Member
William M. McGuffage, Member
Casandra B. Watson, Member

ALSO PRESENT IN CHICAGO: Steven S. Sandvoss, Executive Director
Jim Tenuto, Assistant Executive Director
Ken Menzel, General Counsel
Sharon Steward, Director/Campaign Dis.
Bernadette Harrington, Dep. General Counsel
Andy Nauman, Dep. Director/Campaign Dis.
Darlene Gervase, Administrative Assistant III

ALSO PRESENT IN SPRINGFIELD: Cristina Cray, Legislative Liaison
Tom Newman, Asst. Director/Campaign Dis.
Mike Roate, Director Administrative Services
Kyle Thomas, Director/Voting & Registration
Kevin Turner, Director/Information Tech

Chairman Smart convened the meeting at 11:06 a.m. with all members present in Chicago. The Chairman led everyone in the Pledge of Allegiance.

Member Gowen summarized personnel matters heard in Executive Session which was held prior to the Board Meeting. He moved to adopt the minutes of the February, 2015 Executive Session. Member Byers seconded the motion which passed unanimously by 7 voices in unison.

Upon the retirement of Sharon Steward, the Board approved the appointment of Tom Newman as Director of Campaign Disclosure at a salary of \$75,000. Member Watson so moved with Member Gowen's second. The motion passed unanimously by 7 voices in unison.

Mr. Gowen announced Board approval to appoint Andy Nauman as the sole Deputy Director of Campaign Disclosure at a salary of \$73,000. Member Watson so moved with Vice Chairman Scholz' second. The motion passed unanimously by 7 voices in unison.

Member Coffrin moved to accept the report from the executive session. Member Gowen seconded the motion which passed unanimously by 7 voices in unison.

Chairman Smart asked for a motion to approve the minutes of the April 21, 2015 meeting. Member Coffrin so moved and Member Gowen seconded the motion. The motion passed unanimously by 7 voices in unison.

Executive Director Steve Sandvoss presented Sharon Steward a resolution honoring her for her outstanding contributions to the agency.

Due to the late start of the meeting, the Board called item 4.a.101, *Reyes v. First Ward Organization*, 14CD005 and *Reyes v. 1st Ward Democratic Committeeman Fund*, 14CD006. Attorney Brian Porter was present for the Respondent and spoke to a motion for continuance. He indicated they needed 30 days to complete the amendments and come into compliance. He further noted that they have already submitted 23 Amendments. Attorney Richard Means was present for the Complainant and stated that the Amendments were deficient because all that was shown was Zero totals with no details of where the

\$118,000 in question was expended. However, he did not object to a 30 day continuance. After discussion, Vice Chairman Scholz moved to grant the Motion for Continuance until the May 18th Board Meeting. Member McGuffage seconded the motion which passed unanimously by roll call vote.

General Counsel Ken Menzel reported that a Motion for reconsideration was submitted in the matter of *SBE v. Friends of Figgs*, 24941, 14JQ128, and indicated the filing at issue was 12 minutes late. After discussion, Member Coffrin moved to grant the Motion and Vice Chairman Scholz seconded the Motion. The Motion passed unanimously.

Thomas M. Cushing, candidate, spoke to the offer in *SBE v. Cushing for Judge*, 25564, 14MA018. Discussion was had and Vice Chairman Scholz moved to accept the settlement offer proposed by the Respondent. Member Byers seconded the motion which passed unanimously by roll call vote.

The Board accepted the appearance of Attorney Laura Jacksack on behalf of the Respondent in *SBE v. Evanston Regular Republican Org.*, 221, 14JQ004. The Board rejected the offer of \$803.03 which was less than 50% of the \$3,400 fine. After discussion, Vice Chairman Scholz moved to accept 50% of the fine, payable within 90 days. Member McGuffage seconded the motion which passed 7-0 by roll call vote.

The settlement offer presented in *SBE v. Friends for Howland*, 19201, 15DQ042, was below 50%. No one appeared for the Respondent. Member Coffrin moved to reject the settlement offer and Member Watson seconded the motion which passed unanimously by roll call vote.

The Chairman called *SBE v. Committee for Elect Jennifer Pritchett*, 24563, 12MA124 and 13DQ248, and accepted the appearance of Candidate Pritchett who was present via videoconference in the Springfield office. The settlement offer of \$1,000 was rejected for the \$5,000 fine. Member McGuffage moved to deny the settlement offer and Member Watson seconded the motion which passed 7-0 by roll call vote. Candidate Pritchett offered 50% of the fine with a payment plan to be arranged with Board staff. Member Watson moved and Member McGuffage seconded the Motion to accept the 50% settlement offer. The motion passed 7-0 by roll call vote.

Appeals of campaign disclosure fines wherein the hearing officer recommendation appeals be granted were considered. General Counsel Menzel concurred with the hearing officer's recommendations. Vice Chairman Scholz moved to adopt the General Counsel and hearing officer's recommendations and grant the appeals in Items 4.a a6 through 31. Member Coffrin seconded the motion which passed unanimously by roll call vote. Those cases are: *SBE v. Illinois Hospital Association PAC*, 675, 14AS005; *SBE v. Wayne County Republican Party*, 1209, 15AD013; *SBE v. United Home Owners/Good Government Party*, 4271, 15AD015; *SBE v. Lake Forest Caucus*, 4870, 14JQ010; *SBE v. Fayette County Republican Women's Club*, 6482, 15DQ015; *SBE v. Citizens for Jim Langfelder*, 12048, 14AJ016; *SBE v. Silverstein for Senator*, 13356, 14AS016; *SBE v. Collinsville Education Association (IEA-NEA)*, 14453, 15AD023; *SBE v. 23rd Ward Regular Democratic Organization*, 19167, 14AS027; *SBE v. Friends of Will Burns*, 20749, 15MA017; *SBE v. Friends of the Schaumburg Township Republican Team*, 21072, 14AS034; *SBE v. Committee to Elect Richard G. Karwaczka*, 22228, 14SQ072; *SBE v. Citizens for Bita Buenrostro*, 22801 (Attorney Laura Jacksack appeared for the Respondent and accepted the recommendation), 14MQ093; *SBE v. Citizens for Darin LaHood*, 23532, 15AD034; *SBE v. Friends of Laura Fine for State Rep.*, 24013, 15DQ080; *SBE v. We the People*, 24596, 15DQ090; *SBE v. Friends of Jay Travis*, 25569, 14MA028; *SBE v. Citizens for Jim Moynihan*, 25619, 15AD042; *SBE v. Allan Beaber for Sheriff*, 25663, 14AS060; *SBE v. Keiana Barrett for 7th Ward*, 25857, 15AD048; *SBE v. Working America*, 25990, 14AS074; *SBE v. Citizens to Elect Doug Johnson*, 26006, 14AS076; *SBE v. Friends of Rich Martinez*, 26042, 15DQ131; *SBE v. Citizens for Lemont Public Library Improvements*, 26099, 14SQ141; *SBE v. Citizens to Elect Susan Sadloski Garza*, 26168, 15AD062; and *SBE v. Friends of T. Ray McJunkins*, 27289, 15DQ159.

Mr. Menzel presented items 32 through 79, Appeals of campaign disclosure fines wherein the hearing officer recommended the appeals be denied. He concurred with said recommendations. However, at the request of counsel for the Respondent committees Mr. Menzel continued items 64 and 70 to the May meeting. He said the list excluded Items 52 and 63 as Representatives were present and wished to address

the Board. Member Scholz moved to adopt the recommendations of the hearing officer and General Counsel and Member Coffrin seconded the Motion. The Motion passed 7-0 by roll call vote. Those matters denied are: *SBE v. Randolph County Democratic Central Committee*, 44, 15AD001; *SBE v. Maine Township Regular Republican Organization*, 73, 14SQ001; *SBE v. Randolph County Republican Central Committee*, 154, 15AD003; *SBE v. Marion County Republican Central Committee*, 280, 15AD004; *SBE v. Kankakee County Democratic Central Committee*, 325, 15AD008; *SBE v. Communication Workers of America Dist. 4 PEC*, 763, 14AS006; *SBE v. Skokie Caucus Party*, 4273, 14AJ011; *SBE v. Wayne Township Republican Organization*, 4463, 15MA006; *SBE v. Committee to Retain John Baricevic*, 5499, 14SQ015; *SBE v. Democratic Citizens Association of Caseyville Township*, 5512, 14SQ016; *SBE v. Williamson County Republican Women's Club*, 5570, 15DQ013; *SBE v. McHenry Township Republican Team Fund*, 9158, 14SQ021; *SBE v. Citizens for Jim Langfelder*, 12048, 15AD021; *SBE v. Coalition for a Better Dolton*, 13550, 14SQ028; *SBE v. Committee to Elect Wayne Motley*, 15611, 15AD024; *SBE v. Citizens to Elect Tinley Park Village Officials*, 15724, 14AS020; *SBE v. Friends of Paul Palazzolo*, 15925, 14AJ021; *SBE v. Friends of Paul Palazzolo*, 15925, 15AD025; *SBE v. Holland & Knight LLP IL Committee for Effective Government*, 16109, 14AS021; *SBE v. McAvoy for Alderman Committee*, 17306, 14SQ038; *SBE v. Batavia Township Republican Organization*, 17662, 14SQ040; *SBE v. Statewide Medical Alliance for the Survival of Healthcare*, 17787, 15AD028; *SBE v. Naperville Township Democratic Organization*, 18389, 14AS025; *SBE v. Friends of Mike Jacobs*, 18410, 15AD030; *SBE v. Naperville Area Republican Women's Organization*, 19114, 15MA014; *SBE v. Friends of Miriam Shabo*, 21302, 15DQ052; *SBE v. Citizens to Re-Elect Kathy Svoboda*, 21438, 14SQ064; *SBE v. Concerned Citizens for Judy Ogalla*, 22505, 15DQ060; *SBE v. Mary Rakers for Clinton County Clerk*, 22630, 15DQ061; *SBE v. Friends of Jackie Traynere*, 22669, 15DQ063; *SBE v. Citizens to Elect Reginald C. Mathews State's Attorney*, 23795, 14SQ086; *SBE v. Friends of Christian Mitchell*, 23849, 15MA024; *SBE v. Collinsville Township Republicans*, 24482, 14SQ094; *SBE v. Citizens for Derek Krauss*, 24921, 14SQ101; *SBE v. Black for City Council*, 25052, 15MA031; *SBE v. Central Illinois for Responsible Government*, NFP, 25829, 15MA035; *SBE v. YES for Tognarelli Committee*, 25935, 14AJ057; *SBE v. Vote Yes to Growing Parks Strong in 2014*, 26091, 15AD057; *SBE v. Friends to Elect Karen Kase*, 26111, 15MA046; *SBE v. Friends of Kankakee County Schools*, 26150, 15AD060; *SBE v. Citizens to Elect Steve Bareis Sheriff*, 26175, 15DQ141; *SBE v. Friends for Nina*, 26215, 15DQ146; *SBE v. Horn for Decatur*, 27243, 15DQ152; and *SBE v. Hanover Park United Party*, 27305, 15DQ163.

The General Counsel called 4.a.52 and accepted the appearance of Attorney John Fogarty for the Respondent in *SBE v. Republican State Senate Campaign Committee*, 17589, 15AD027, who was present in Springfield. Mr. Fogarty asked that the violation be treated as one, single, first violation and the fine be abated. Mr. Menzel concurred. Member McGuffage moved to adopt the General Counsel's recommendation. Member Coffrin seconded the motion which passed unanimously by roll call vote.

Mr. Menzel accepted the appearance of John Escobar for Item 4.a.63, the Chicago Latino Public Affairs Committee, 22755, 15MA019. After discussion, Mr. Escobar requested a continuance to confer with the committee. Vice Chairman Scholz moved to continue item 4.a.63 to the May board meeting. Member Coffrin seconded the motion which passed 7-0.

Agenda Items 80 and 81, appeals of campaign disclosure fines, were presented by General Counsel Menzel. He recommended they be granted in part and denied in part. Vice Chairman Scholz moved to adopt the General Counsel's recommendations and Member Coffrin seconded the motion which passed unanimously. Those matters are: *SBE v. Village Economy Party aka Norridge Improvement Party*, 4274, 15MA005; and *SBE v. Aurora Township Democratic Central Committee*, 5301, 15MA007.

Mr. Menzel introduced the committees who failed to comply with a board order and recommended the Board assess \$5,000 fines against: *SBE v. votedebby.com*, 14CD136; *SBE v. Citizens to Elect Alvin Parks, Jr.*, 14CD137; *SBE v. Re-Elect Eric Leys*, 14CD145; *SBE v. Jackson for Committeeman Ind. Democratic Political Org.*, 14CD149; *SBE v. Friends of Jeremy J. Ly*, 14CD153; *SBE v. Tammy Baer for Douglas County State's Attorney*, 14CD155; *SBE v. Citizens for Rudd*, 14CD161; *SBE v. Friends of David Moore*, 14CD162; *SBE v. Citizens to Elect Anthony Coleman for Mayor of North Chicago*, 14CD163; *SBE v. Braiman for Judge*, 14CD165; *SBE v. Friends of Christina Campos*, 14CD170; *SBE v. Citizens to Elect 169 Write-ins*,

14CD173; *SBE v. Friends for Mary C. Childers*, 14CD176; *SBE v. Supporters of Joe Cook*, 14CD179; *SBE v. Citizens to Elect Frazier Garner*, 14CD183; *SBE v. Fans of Harness Racing*, 14CD185; and *SBE v. The Committee to Elect Robert R. McKay*, 14CD189.

The General Counsel referred the Board to Committees listed on pages 459 through 467 of their packet who have delinquent filings of September and December, 2014 quarterly reports, D-1 Statement of Organizations, Schedule A-1's and "multi-assessments" whose violations were not appealed. He asked the Board to issue Final Orders in those matters. Vice Chairman Scholz so moved and Member Byers seconded the motion which carried unanimously.

Payments of civil penalties on pages 468 and 469 of the packet were submitted for informational purposes.

The Board took a short break at noon and returned to open session at 12:15 p.m.

Executive Director Steve Sandvoss began his report with a report of the April 7 Consolidated Election. He indicated that phone traffic was moderate with 120 calls between both offices with questions and complaints typical on Election Day.

East St. Louis requested SBE staff to be present in their office, since this was the first election where the counting of write-in votes occurred in the precincts rather than at the central counting center. Staff recommended more detailed training of election judges regarding the counting write-in votes would be necessary before the next election.

Turnout reports ranged from 8% to 40%.

Mr. Sandvoss continued with Certification of the Fox Waterway Management Agency Directors certification. He noted the deadline of May 8, 2015 for the Board to canvass those results. As in previous election cycles, Director Sandvoss requested authorization for staff members to certify the winning candidates for this office in lieu of a special board meeting. Vice Chairman Scholz so moved and Member Coffrin seconded the motion which passed by 7 voices in unison.

Mr. Sandvoss reported on the precincts that failed to report their totals by 2AM and said that the problems involved removing the memory pack prior to shutting off the machine which will impact totals and the other was an OC error. Both problems were corrected and votes tallied the following day.

Voting system pre-testing and Election Judge training schools were presented for informational purposes.

Mr. Sandvoss noted that a Special State Officers Electoral Board may be necessary if objections are filed to candidates for the 18th Congressional District. A May 1st meeting at 10:00 a.m. may be necessary to call objections, adopt rules, appoint hearing officers, set a calendar, etc.

A Consent Decree with the Department of Justice relating to the 18th Congressional District Special Election was presented to the Board as well as information and dates relevant to the election.

Cris Cray, Legislative Liaison, offered a legislative update which include information on an omnibus bill which includes cleanup language for SB172, Article 28 revisions related to Statewide advisory questions and consolidate elections to help counties save money. In addition, she noted that the Appropriation hearing was continued to April 30 in the Senate. Director Sandvoss and Fiscal Officer Mike Roate will be present. Mr. Roate reported on the impact of the 2.25% budget cut (which he said we could manage), but an additional 10% cut could not be sustained.

Kyle Thomas' update of Senate Bill 172 included information about discussions with State agencies who are required to connect their computer network with that of the SBE to electronically transfer voter registrations. Such discussions are going well and work continues to meet the statutory deadline for implementation, though he is still working on setting up a meeting with the Dept. of Human Services. He

further noted that the Department of Employment Security does not intend to implement any requirements of SB172.

As to Paperless Online Voting Application (POVA), he reported that the new system will determine if a user can register online – with an Illinois driver's license or state ID. Those without the Illinois driver's license or state ID must print, sign and mail their application.

Kevin Turner added that work will continue on IT projects as long as there is funding for those projects. Director Sandvoss submitted a board meeting schedule for FY-16 and indicated there are two meeting dates in October that could be combined. He added that special meetings may be necessary on July 10th for new party or independent candidates to whom objections have been filed and on July 23 via video conference to certify the results of the Special Election Primary election. All others are regular meetings.

Mr. Roate offered an FY-15 budget update reiterating that the 2.25% was manageable but another 10% would greatly impact the agency.

The Executive Director corrected an entry in the YTD under IVRS's budget report that now shows the amount of \$348,193.37. Other Fiscal status reports were presented for informational purposes as well as the two-year plan of staff activity.

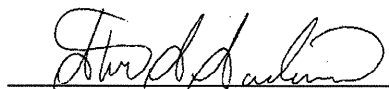
As a follow-up, Sharon Meroni of Defend the Vote; Messrs. James Macklin; and Carlos Strickland presented testimony to the Board regarding Republican Election Judges in the City of Chicago. General Counsel Menzel was directed to accept any proof the parties wish to submit.

Member Byers moved to adjourn and Member Coffrin seconded the Motion which passed with 7 ayes in unison.

The next meeting of the Board is scheduled for Monday, May 18, 2015 in Springfield.

The meeting adjourned at 1:14 p.m.

Respectfully submitted,



Steven S. Sandvoss, Executive Director



Darlene Gervase, Administrative Assistant III

CHICAGO

2015 APR 30 PM 3:00

STATE BOARD OF ELECTIONS

State of Illinois)
)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)

ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant,)

vs.)

CITIZENS FOR JUDGE JOHN B. SIMON,)
Respondent.)

No. 14 AS 053

MOTION FOR RECONSIDERATION

Now comes, Kevin M. Forde, Chairman of the Citizens for Judge John B. Simon, and pursuant to Administrative Law Review 125.440, hereby requests reconsideration of the Final Order dated April 23, 2015, and in support states as follows:

1. On February 27, 2015, the Illinois State Board of Elections ("Board of Elections") assessed a fine against Citizens for Judge John B. Simon ("the Committee") for delinquently filing a Schedule A-1 Report of Campaign contributions ("Schedule A-1") related to an In-Kind contribution made by Millie Rosenbloom. As shown below, the alleged reporting delay was the result of a clerical error. The Committee has no other civil penalty assessments and this alleged infraction involved only one Schedule A-1 amount. Thus, the penalty was stayed.

2. Even though the alleged infraction was minor and the penalty (\$500.00) stayed, the Committee believes it was assessed in error and determined to pursue an appeal to preserve an otherwise spotless record. The Committee thus filed an appeal in this matter (attached hereto as Exhibit A).

3. The instructions attached to the Board of Elections' assessment notice (attached hereto as Exhibit B) state that the appeal affidavit must be postmarked within 30 days of the date of the assessment letter. Thus, the appeal was required to be postmarked by March 27, 2010.

4. The Committee filed the appeal by mail on March 30, 2015. The appeal was not filed by March 27, 2015 because I was out of the office with the flu on Thursday, March 26 and Friday, March 27, 2015. The appeal was filed immediately upon my return to the office on Monday, March 30, 2015.

5. The Board of Elections did not consider the Committee's appeal because it determined that the appeal was not received in a timely manner.

6. On April 23, 2015, the Board of Elections issued its Final Order (attached hereto as Exhibit C).

7. The Committee respectfully requests that the Board of Elections reconsider: (1) its refusal to consider the Committee's appeal; and (2) the penalty assessed in its Final Order dated April 23, 2015, against the Committee for delinquently filing of a Schedule A-1 during the third quarter of 2014.

8. First, the Committee's appeal was not timely filed due to illness and no prejudice resulted from the brief delay in filing the appeal. The appeal was filed one business day after the due date.

9. Second, the penalty assessed by the Board of Elections on February 27, 2015 (effective April 23, 2015 per the Final Order) was assessed in error because the Date of Contribution listed on the Schedule A-1 was incorrect. Instead of listing the date that the In-Kind form was received as the Date of Contribution, the Committee listed the date of value (payment) shown on the In-Kind form. This was an inadvertent clerical error.

10. Under the disclosure rules, the date of receipt of the contribution governs when it is required to be reported. For In-Kind contributions, where the Committee does not actually obtain possession of the goods or services, the date of receipt of the contribution is the date the committee receives notification of the in-kind contribution from the contributor.

11. For this contribution, Ms. Rosenbloom placed an ad for Judge John B. Simon in a Chicago Association of Realtors publication. She created the ad and placed it without campaign involvement. Thus, the campaign never obtained possession of the contribution in the form of the ad. Ms. Rosenbloom paid for the ad in August 2014, but did not send the Committee the In-Kind form until September 16, 2014. The Date of the Contribution to the campaign was the date of receipt of the In-Kind form on September 16, 2014.

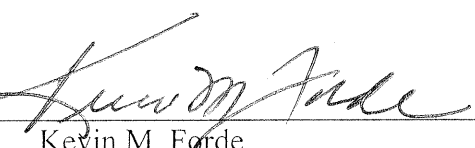
12. The A-1 is required to be reported within 5 business days of receipt of the contribution. The Committee timely reported the contribution on the Schedule A-1 one day later, on September 17, 2014. However, on the Schedule A-1, the Committee inadvertently listed the date of the contribution as August 29, 2014 (the date Ms. Rosenbloom paid for the ad), rather than September 16, 2014 (the date the In-Kind form was received).

13. The Committee seeks reversal of the penalty as the Schedule A-1 was timely filed based on the date the contribution was received. The Committee erred in reporting the incorrect contribution date. That error was an inadvertent clerical mistake.

14. The Committee therefore, asks that the Board of Elections reconsider its Final Order and reverse the civil penalty assessed against the Committee.

Dated: April 30, 2015

Respectfully Submitted,

By: 
Kevin M. Forde
Citizens for Judge John B. Simon, Chairman

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)
)

OF THE STATE OF ILLINOIS

$$\begin{array}{c}) \\) \\) \\) \\) \\) \\) \end{array}$$

No. _____

WAIVER OF APPEARANCE

representative of the committee.

Kevin M. Forde
Chairman, Citizens for Judge John B. Simon

(312) 641-1441

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS,
Complainant,

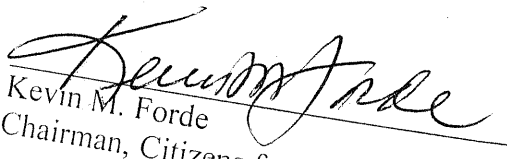
vs.

CITIZENS FOR JUDGE JOHN B. SIMON,
Respondent.


No. _____

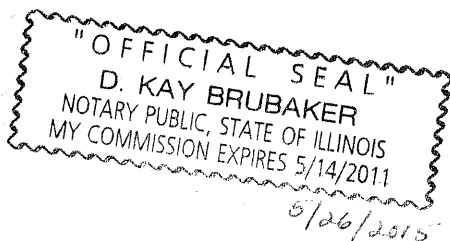
APPEAL AFFIDAVIT

Kevin M. Forde, the Chairman of the Citizens for Judge John B. Simon, first being duly sworn, deposes and states that he represents the said committee and can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:
See Attached.


Kevin M. Forde
Chairman, Citizens for Judge John B. Simon

Subscribed and sworn to
before me on this 30th day
of March, 2015.


Notary Public



The Board of Elections assessed a fine against Citizens for Judge John B. Simon ("the Committee") for delinquently filing a Schedule A-1 Report of Campaign contributions ("Schedule A-1") related to an In-Kind contribution made by Millie Rosenbloom. The Committee has no other civil penalty assessments and this alleged infraction involved only one delinquent Schedule A-1 amount. Thus, the penalty was stayed. However, because this penalty was assessed in error, the Committee files this appeal.

The penalty was assessed in error because the Date of Contribution listed on the Schedule A-1 was incorrect. Instead of listing the date that the In-Kind form was received as the Date of Contribution, the Committee listed the date of value (payment) shown on the In-Kind form. This was an inadvertent clerical error.

Under the disclosure rules, the date of receipt of the contribution governs when it is required to be reported. For In-Kind contributions, where the Committee does not actually obtain possession of the goods or services, the date of receipt of the contribution is the date the committee receives notification of the in-kind contribution from the contributor.

For this contribution, Ms. Rosenbloom placed an ad for Judge John B. Simon in a Chicago Association of Realtors publication. She created the ad and placed it without campaign involvement. Thus, the campaign never obtained possession of the contribution in the form of the ad. Ms. Rosenbloom paid for the ad in August 2014, but did not send the Committee the In-Kind form until September 16, 2014. The Date of the Contribution to the campaign was the date of receipt of the In-Kind form on September 16, 2014.

The A-1 is required to be reported within 5 business days of receipt of the contribution. The Committee timely reported the contribution on the Schedule A-1 one day later, on September 17, 2014. However, on the Schedule A-1, the Committee inadvertently listed the date of the contribution as August 29, 2014 (the date Ms. Rosenbloom paid for the ad), rather than September 16, 2014 (the date the In-Kind form was received).

The Committee seeks reversal of the penalty as the Schedule A-1 was timely filed based on the date the contribution was received. The Committee erred in reporting the incorrect contribution date. That error was an inadvertent clerical mistake. The Committee therefore, asks that the Board of Elections reverse the civil penalty assessed against it.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Citizens for Judge John B Simon
Kevin M Forde
111 west Washington Street, Ste 1100
Chicago, IL 60602

ID# 25413

Dear Citizens for Judge John B Simon:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Millie Rosenbloom	8/29/2014	\$1000	9/17/2014	7	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 14 AS 053
)
Citizens for Judge John B Simon)
Respondent(s).)

FINAL ORDER

TO: Citizens for Judge John B Simon ID# 25413
353 N. Clark Street, Ste 3500
Chicago, IL 60654

This matter coming to be heard this 21st day of April, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,


THE BOARD FINDS

1. In case number 14 AS 053, a \$500.00 civil penalty was initially assessed against the Respondent for the delinquent filing of a Schedule A-1 during the third quarter of 2014; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. Pursuant to section 9-10 of the Code, the assessment is reduced to \$50.00 (10% of the value of the delinquently reported contributions), and
4. The committee filed a Final report on December 30, 2014.

IT IS ORDERED:

1. A civil penalty in the amount of \$50.00 is imposed, stayed as a first violation and will be abated on April 23, 2017 if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b); and
2. The effective date of this Order is April 23, 2015, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/23/2015


Jesse R. Smart, Chairman

15 MAY -4 AM 10:14

Dear Members of the Board,

15A D002
#62

I apologize for the reports being late however for the past two years I have been taking care of my wife who has Alzheimer's Disease and at times it can be very difficult to keep focused on all of my responsibilities, one of which is filing the campaign reports in a timely manner. Since I'm responsible for the late reports I would ask that the Board consider reducing this fine to a lesser amount because the party is not at fault here, I am and therefore I will pay any fine assessed out of my own pocket.

Thank you for your consideration in this matter

Ken Clark Macoupin County Democrat Party Treasurer

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 15 AD 002
)
Macoupin County Democrat Party)
 Respondent(s).)

FINAL ORDER

TO: Macoupin County Democrat Party ID# 62
 4038 Alpine Drive, PO Box 155
 Brighton, IL 62012

This matter coming to be heard this 21st day of April, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,


THE BOARD FINDS

1. In case number 15 AD 002, a \$2500.00 civil penalty was initially assessed against the Respondent for failing to file a Schedule A-1 during the fourth quarter of 2014; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$450.00, which was stayed as a first violation, for the delinquent filing of the June 2013 Quarterly report (13 JQ 005). This assessment was not appealed, and
4. Pursuant to section 9-10 of the Code, the assessment is reduced to \$250.00 (10% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. A civil penalty in the amount of \$250.00 is imposed and the stay is lifted from the previously assessed penalty of \$450.00. The total amount of \$700.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is April 23, 2015, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/23/2015


Jesse R. Smart, Chairman



FINKEL, MARTWICK & COLSON P.C.

203 North La Salle Street • 15th Floor • Chicago, Illinois 60601-1293
Main: 312.368.8900 • Fax: 312.368.8910

January 20, 2015

Mr. Ken Menzel
General Counsel
Illinois State Board of Elections
100 West Randolph St., 14th Floor
Chicago, IL 60601

Re: Request for Settlement Offer
SBE v. Norwood Park Township Democratic Organization
Case No. 14 SQ 008

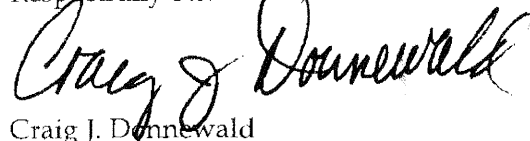
Dear Mr. Menzel:

I am counsel for the Norwood Park Township Democratic Organization. Please consider this letter as our Request for a Settlement Offer with respect to a penalty recently imposed against my client in the amount of \$925.00. The relevant decision of the Board is attached for reference. By way of background, note the following:

1. The bulk of the total penalty amount (\$900 of \$925) relates to filing of the September, 2014, Quarterly report.
2. The filing of the report was not timely, being approximately two weeks late.
3. The late filing was principally the result of a misunderstanding on the part of the organization about which individual would be responsible for preparing and filing of the report for the period in question.
4. The organization's account has been largely dormant in recent years, both in terms of contributions and expenditures.
5. The organization's account balance is only about \$3,100, such that the imposition of a penalty of this size would present a hardship.

Given all of the foregoing, we would respectfully request that the penalty of \$925.00 be reduced by half, to \$462.50. Thank you for your consideration of our request.

Respectfully Submitted,



Craig J. Donnewald

CJD/alm
Enclosures

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 14 SQ 008
)
Norwood Park Twp Democratic Org)
 Respondent(s).)

FINAL ORDER

TO: Norwood Park Twp Democratic Org ID# 566
 8312 W Leland Ave
 Norridge, IL 60706-4328

This matter coming to be heard this 21st day of April, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

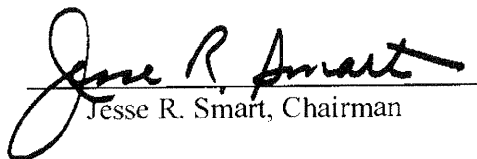
THE BOARD FINDS

1. In case number 14 SQ 008, a \$900.00 civil penalty was assessed against the Respondent for the delinquent filing of the September 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$25.00 for the delinquent filing of the March 2012 Quarterly report (12 MQ 011). This assessment was not appealed and remains unpaid. The committee was also assessed a penalty of \$950.00 for the delinquent filing of the December 2012 Quarterly report (13 DQ 007) The appeal of this assessment was denied and has been paid by the committee.

IT IS ORDERED:

1. A civil penalty in the amount of \$900.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty of \$25.00 remains unpaid and is past due; and
2. The effective date of this Order is April 23, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/23/2015


Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS

15 APR 30 PM 1:44

April 28, 2015

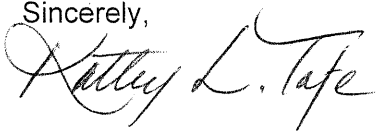
Warren County Republican Women
Kathy Tate
1959 84th Street
Monmouth, IL 61462

15DQ011

State Board of Elections
PO Box 4187
2329 S MacArthur Blvd
Springfield, IL 62708-4187

I am contacting you regarding the final order we received on case **15 DQ 011** for the **Warren County Republican Women ID #5559**. We have been assessed a penalty of \$425.00 for being late on filing the quarterly report. I am asking you to consider reducing the penalty by half due to the hardship this would be to the Warren County Republican Women. We are a very small organization with a very lean checking account. Our last quarterly report showed a balance of \$1814.86. I am a new treasurer for the club, and I am the one responsible for being late on the January, 2015 report. I am very sorry for this error and all the frustration it has caused. Would you please consider the reduced amount of \$212.50 for payment? Thank you for taking your time to consider this request.

Sincerely,



Kathy Tate
Warren County Republican Women Treasurer

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 15 DQ 011
)
Warren County Republican Women)
 Respondent(s).)

FINAL ORDER

TO: Warren County Republican Women ID# 5559
 114 40th Street
 Roseville, IL 61473

This matter coming to be heard this 21st day of April, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

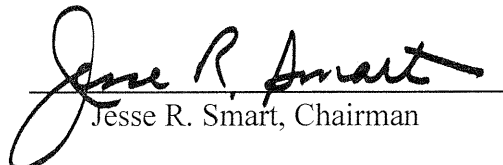
THE BOARD FINDS

1. In case number 15 DQ 011, a \$300.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$125.00, which was stayed as a first violation, for the delinquent filing of the September 2013 Quarterly report (13 SQ 016). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$300.00 is imposed and the stay is lifted on the previously assessed penalty of \$125.00. The total amount of \$425.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is April 23, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/23/2015


Jesse R. Smart, Chairman

**Mason Contractors Association**

STATE BOARD OF ELECTIONS

of GREATER CHICAGO

1480 RENAISSANCE DR. #302

PARK RIDGE, ILLINOIS 60068

15 MAY -6 AM 8:07

FAX To: Laura Marbold 217/782-5959

May 5, 2015

145Q042

17797

Jesse Smart
State Board of Elections
2329 MacArthur Blvd.
Springfield, IL 62704

Members of the Board,

The MC PAC is a small Political Action Committee set up to support candidates that can further Union Construction wants and needs. It is very small and so is the organization. I am the only full time employee and we have never had a huge balance. As a matter of fact as of May 1st we don't even have enough in the account to pay the fine. Luckily I have been informed that the association can pay a portion of the fine.

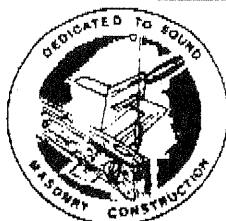
The settlement amount of \$550.00 would create a huge burden and may even have my board considering to closing our PAC for good. I would hope we could keep it and I would like to solicit help. We would like you to consider accepting 50% of you Fine amount? We did file and it was not accepted. It was just late and we are sorry and plan on making adjustments in how we do this to make sure it does not happen again. I have a part time secretary who works Wednesdays 9am-5pm and sadly we miss her this.

I understand this may be considered at the May board meeting, I hope you can find in our favor. If you have any questions feel free to call me at 847/ 824-0146 or my cell any time...312/ 405-0146

Sincerely

Jim O'Connor
Executive Secretary

PHONE 847 / 824-0146



STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 14 SQ 042
)
MC PAC)
 Respondent(s).)

FINAL ORDER

TO: MC PAC ID# 17797
 1440 Renaissance Dr, Ste 340
 Park Ridge, IL 60068-1471

This matter coming to be heard this 21st day of April, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

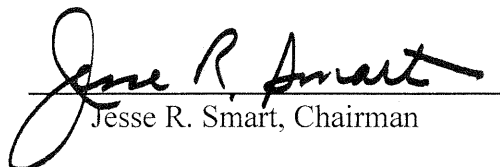
THE BOARD FINDS

1. In case number 14 SQ 042, a \$300.00 civil penalty was assessed against the Respondent for the delinquent filing of the September 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$250.00, which was stayed as a first violation, for the delinquent filing of the September 2013 Quarterly report (13 SQ 050). The appeal of this assessment was denied.

IT IS ORDERED:

1. A civil penalty in the amount of \$300.00 is imposed and the stay is lifted on the previously assessed penalty of \$250.00. The total amount of \$550.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is April 23, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/23/2015


Jesse R. Smart, Chairman

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:

Illinois State Board of Elections,)	
Complainant,)	
)	
)	14 AS 002
vs.)	
)	
Cook County Democratic Party,)	
Respondent(s).)	

RECOMMENDATION OF THE HEARING EXAMINER

**Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1
For the Third Quarter of 2014**

I. Background

The Cook County Democratic Party (Respondent) received a \$20,000.00 donation dated September 15, 2014, from the Sheila Simon for Illinois candidate political committee. The corresponding Schedule A-1 was filed on October 15, 2014, which was 16 days late.

Being the second delinquent Schedule A-1 filing, the \$10,000 fine assessed was automatically reduced to \$5,000.

An Appeal Affidavit was timely filed citing "mitigating circumstances."

II. Appeal Hearing

The Appeal Hearing was held on April 20, 2015, in the Chicago office of the State Board of Elections. Present on behalf of the Respondent was attorney James Nally.

Respondent received a \$20,000 contribution from Sheila Simon for Illinois on September 15, 2014. (Check # 1225).

James Nally explained Respondent's procedure is to scan checks as they are received and email the attached checks to accountant Paul Merkel. Alexander Alanis, who is also an accountant, sent Paul Merkel an email informing him of contributions scanned and attached to the email. Paul Merkel received the email, but there were no attachments. Upon notification from Paul Merkel, Alexander Alanis resent the email but only attached 2 of the 3 checks he intended to send. The \$20,000 check was the one inadvertently omitted. Paul Merkel received an email with "checks" attached. Neither Paul Merkel nor Alexander Alanis were aware the \$20,000 check from Sheila Simon for Illinois was excluded. Upon preparing the D-2 Quarterly Report for July 1, 2014 through September 30, 2014, for filing on October 15, 2014, Paul Merkel became aware a corresponding Schedule A-1 was not filed for the \$20,000 check.

Jim Nally submitted Exhibit 1, a letter from Alexander Alanis, wherein he states the failure to timely file the Schedule A-1 was a clerical error on his part. The letter stated he is a new hire and learning the proper procedures. Mr. Alanis also participated by telephone and his statements were consistent with Exhibit 1.

Jim Nally also pointed out that the Respondent had a major fundraiser at the end of September, 2014 and 15 to 20 checks were being received daily. This \$20,000 check was the only contribution for which a Schedule A-1 was not filed. Furthermore, the Respondent has revised procedures so that an email includes the number of checks being scanned, the source of the contribution and the amount of each check. Thus, the email notifies the recipient of the number of checks transmitted and which checks may trigger the requirement to file a Schedule A-1.

Finally, Mr. Nally points out there was no intent to deceive the public as the contribution was reported on October 15, 2014, (approximately 3 weeks before the General Election) as an expenditure by Sheila Simon for Illinois. Furthermore, the contribution was also reported on the quarterly report submitted by the Respondent.

III. Recommendation

I recommend that the Appeal be granted and no fine be imposed. In support thereof I submit:

- The \$20,000 contribution was disclosed by both Sheila Simon for Illinois and the Cook County Democratic Party, prior to the General Election. Thus, there was no intent to deceive the public by not disclosing the contribution.
- The failure to file the Schedule A-1 appears to be human error and procedures have been established to avoid a repetition.
- Respondent filed 21 Schedule A-1's between July 1 and September 30, 2014, many of which listed more than one contribution.
- No files have been imposed since March, 2012 against the Respondent.
- The \$20,000 contribution was the only contribution for which a Schedule A-1 was not filed.
- Respondent is not a candidate political committee and there is no benefit to not disclosing the source of the contribution.

For the response set forth above, I recommend the Appeal be granted and a fine not be imposed for the late filing of a Schedule A-1 in this matter.

Respectfully submitted,



James Tenuto, Hearing Examiner
April 30, 2015

134 North LaSalle Street
Suite 1420
Chicago, Illinois 60602
Phone (312) 263-0575
Fax (312) 263-0216
www.cookcountydems.com
cookcountydems@msn.com

TONI PRECKWINKLE
Executive Vice Chair

LOU LANG
Executive Vice Chair



JOSEPH BERRIOS
Chairman

TIM BRADFORD
First Vice-Chairman

CARRIE M. AUSTIN
City Vice-Chairman

DON HARMON
Suburban Vice-Chairman

ROBERT F. MARTWICK
Secretary

ANTONIO MUNOZ
Treasurer

KAREN YARBROUGH
Sergeant-At-Arms

To whom it may concern,

On September 15, 2014 I Alexander Alanis emailed our Accountant Paul Merkel notifying him of a deposit to be made. The deposit was for Check #1225 from Shelia Simon for Illinois in the amount of \$20,000. When I first sent the email, Paul did not receive the attachment of the check scan, so I emailed it again. Believing I had attached the scan of check #1225 to the next email I sent, I thought the AI would be filed and the matter was closed. Yesterday I spoke to Paul and was informed that he never received the scan of check # 1225 on September 15, 2014 and did not file the appropriate paperwork. Going through my past emails, I discovered that due to a clerical error the attachment of Check # 1225 was never sent. I am attaching copies of emails sent to Paul as evidence that I attempted to send the check.

Because I am a new hire I am still learning the proper procedures. Looking back on my mistake, I should have entered the amount of the check to be deposited in my emails to Paul.

This was completely my error, and I am bringing it to your immediate attention. Please forgive my mistake, and do not fault the Cook County Democratic Party for my unintentional clerical error.

Sincerely,

Alexander Alanis

Alexander Alanis

Exhibit #1

ate of Illinois)
)
ounty of: COOK)

STATE BOARD OF ELECTIONS

15 MAR 10 AM 8:38

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
ILINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
s.)
)
COOK COUNTY Democratic Party)
Respondent(s).)

Case No. 14AS002

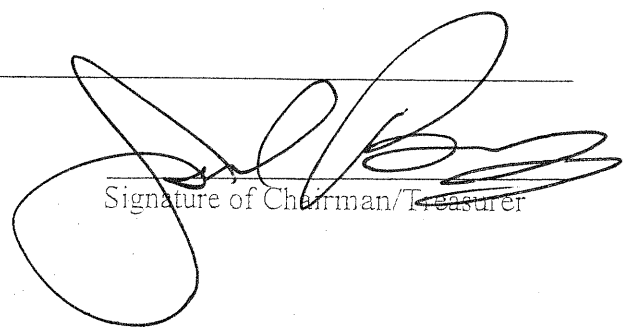
APPEAL AFFIDAVIT

Joseph Berrios, the Chairman of the
(Name) (Chairman/Treasurer)

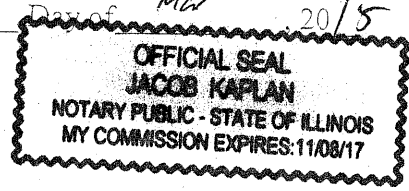
COOK COUNTY Democratic Party
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Mitigating Circumstances


Signature of Chairman/Treasurer

ed and Sworn to by:
Jacob Kaplan
re me this 6 Day of Mar, 2015
ary Public
)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

Cook County Democratic Party
Joseph Berrios
134 N LaSalle St, Suite 1420
Chicago, IL 60602

ID# 163

7014 2120 0001 1723 3482

Dear Cook County Democratic Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Sheila Simon for Illinois	9/15/2014	\$20000	10/15/2014	16	\$10000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$10000.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5000.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with the first name "Sharon" and last name "Steward" clearly distinguishable.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

15 MA 002

Vermilion County Republican Central Committee ID# 319

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received three \$1,000 contributions on 7/1/14, 8/1/14, and 9/1/14 and failed to report these on a Schedule A-1, resulting in a civil penalty assessment of \$1,500. Additionally, the Committee received a \$1,000 contribution on 10/1/14 and reported it on a Schedule A-1 received by the Board on 10/14/14, 3 days late, resulting in a civil penalty assessment of \$500. The total assessment is \$2,000.

Charles Mockbee III, the Treasurer of the Committee, filed a Request for Hearing and an Appeal Affidavit.

On the Affidavit and at the Hearing held on 4/30/15, Mr. Mockbee indicated that all four contributions in question were in-kind contributions from the Vermilion Development Corporation and represented the donation of office space for the Committee. He says although he was aware of the deal to provide the office space, this was done through a verbal agreement and he did not know of the exact value until October 14, 2014. At that time, Mr. Mockbee says he began preparing the Committee's Quarterly Report, and when he discovered that he did not have the financial details he contacted the contributor to get the information, but the A-1 reporting deadlines had already passed.

The date of receipt for an in-kind contribution is legally considered to be the date the recipient receives notice of the contribution from the contributor. Although a Committee still has an obligation to report in-kinds even if it does not receive that notice, in this case Mr. Mockbee did not realize the value of each contribution would be \$1,000 and would therefore require A-1 filings. As a result, and since the contribution notice was not received until Mr. Mockbee inquired of the contributor, on 10/14/14, this was the date of receipt and all four contributions were therefore timely reported. I recommend the appeal be granted. (As of 3/31/15, this Committee reported a funds available balance of \$13,901.20)



Tom Newman – Hearing Officer
May 4, 2015

State of Illinois)
County of: Vermilion)

STATE BOARD OF ELECTIONS

15 MAR 30 PM 3:36

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. Vermilion County Republican)
Central Committee)
Respondent(s).)

Case No. 15MA002

APPEAL AFFIDAVIT

I, Charles D. Mockbee III, the Treasurer of the
(Name) (Chairman/Treasurer)
Vermilion County Republican Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In the summer of 2014 I became aware that Vermilion Development Corporation would be making an in kind contribution to the Vermilion County Republican Central Committee in the form of space to be utilized as party headquarters. However, I did not learn the details, including term and rental value, until after October 1, 2014, too late to timely make a Schedule A-1 Report of Campaign Contributions of \$1000.00 or more.

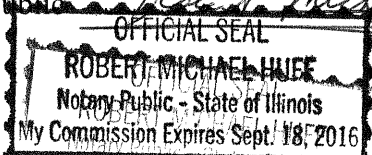
Charles D. Mockbee III
Signature of Chairman/Treasurer

Signed and Sworn to by:

Charles D. Mockbee III

before me this 26th Day of March, 2015

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Vermilion County Republican Central Cmte ID# 319
W David Stone
11848 Catlin Indianola Road
Catlin, IL 61817

7014 2120 0001 1716 1884

Dear Vermilion County Republican Central Cmte:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Vermilion Development Corp.	7/1/2014	\$1000	*	66	\$500
Vermilion Development Corp.	9/1/2014	\$1000	*	24	\$500
Vermilion Development Corp.	8/1/2014	\$1000	*	44	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1500.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Vermilion Development Corp	10/1/2014	\$1000	10/14/2014	3	\$500

Your committee is subject to a fine of \$500.00 for delinquently filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250.00 (50 % of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$400.00

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 AS 024

Citizens for McCoy ID# 16856
Respondent

REPORT OF HEARING OFFICER

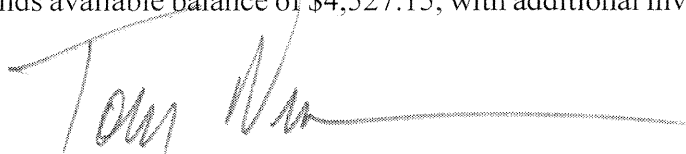
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received contributions of \$1,200, \$1,000 and \$1,700 on 8/25/14, and a \$1,000 contribution on 9/2/14, and failed to report these on a Schedule A-1, resulting in a civil penalty assessment of \$2,450. Additionally, the Committee was previously assessed a \$50 civil penalty (appealed, denied, stayed) for failure to file a Schedule A-1 in the second quarter of 2014. The total assessment is \$2,500.

Curtis Wardelman, the Treasurer of the Committee, filed a Request for Hearing and an Appeal Affidavit.

On the Affidavit and at the Hearing held on 4/30/15, Mr. Wardelman stated that the four contributions in question occurred while the Committee was making the transition to version 3 of the IDIS electronic filing system. He says there were several problems during that transition, that resulted in the Committee having to contact Board staff for assistance multiple times over a two week period in order to get financial data transferred and able to file. When the problems were finally resolved on 10/13/14, he says the Committee was able to file its Quarterly Report, but the A-1s in question were already late.

Based on the difficulties experienced by the Committee, and in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense successfully, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$50 civil penalty. (As of 3/31/15, this Committee reported a funds available balance of \$4,527.15, with additional investments of \$20,000.)



Tom Newman – Hearing Officer
May 4, 2015

State of Illinois)
County of PEORIA)

STATE BOARD OF ELECTIONS
15 MAR 25 PM 1:20

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR MCCOY)
Respondent(s).)

Case No. 14A5024

APPEAL AFFIDAVIT

I, CURTIS A WARDELMAN, the TREASURER of the
(Name) (Chairman/Treasurer)
CITIZENS FOR MCCOY
(Name of the Committee)

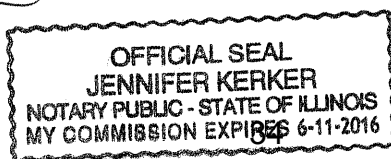
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In June of 2014 I was entering the information for receipts for a fund raiser that was held. When I entered the contribution by LIG Consulting the A1 notification did not activate on version 2.0 on the software. I was aware that a A1 filing was due. I contacted the Springfield office of the State Board of Elections and spoke with Jason and was told that there were known issues with version 2.0 of the software but since by the end of the third quarter all of the committees would be switching over to version 3.0 they were not making any modifications to version of 2.0. I was advised not to be concerned with non filing of the A1. In the first part of July, I then contacted the Springfield office again prior to filing the quarterly report and again explained that I could not file the A1 but could file the quarterly report. Once again I was told that they weren't fixing issues relating to version 2.0. At that time, the quarterly report was filed. In the third quarter I ran into the same issue. It took over 2 weeks and multiple attempts to get the information out of version 2.0. I had to consult with a computer software specialist to get the information extracted. In the last part of September I finally got the information loaded into 3.0 and filed the quarterly report. If I would have been told in June that I must immediately convert to 3.0 otherwise we would be fined. I would have started the process at that time. (Continued on attachment)

Signed and Sworn to by:

before me this 23rd Day of
March, 2015
Jennifer Kerker
Notary Public

Curtis A Wardelman
(Signature of Chairman/Treasurer)



Citizens for McCoy
Appeal Affidavit
March 23, 2015

Reason and Defenses (continued)

I was not informed until I received the notice on November 18, 2014 that there was a problem relating to the issue. By that time it was too late for me to correct any errors in the quarters. In the entire time the campaign has been in existence we have never failed to file a report or been fined in any way. I am requesting the failure to file an A1 be waived. If you need any further information please do not hesitate to contact me. Thank you for your consideration.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

Citizens for McCoy
7405 Durham Lake Ct
Peoria, IL 61607

ID# 16856

7014 2120 0001 1723 2119

Dear Citizens for McCoy:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Digital Copy Systems	8/25/2014	\$1200	*	29	\$600
John Mabee	9/2/2014	\$1000	*	24	\$500
Jonathan Michael	8/25/2014	\$1000	*	29	\$500
Peoria Fire Fighters Local #50	8/25/2014	\$1700	*	29	\$850

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2450.00 for delinquent filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1225.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2014 June	A1	\$50
TOTAL AMOUNT NOW DUE		\$1275

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 SQ 036

Citizens to Elect Randy Ramey
Respondent

ID #16628

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the September 2014 Quarterly Report

The Quarterly Report was received by the Board on 10/28/14, 9 days late, resulting in a civil penalty assessment of \$450. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, stayed) for delinquently filing the March 2014 Quarterly report. The total assessment is \$650.

Harry Ramey, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ramey states the Committee was not informed or aware of this issue. He adds the Committee's account has a \$2.76 balance and has been inactive for 2 years.

I recommend the appeal be denied for lack of an adequate defense. Our records indicate a September Quarterly report reminder was sent to the Committee on 9/26/14. Additionally, there is a Campaign Disclosure calendar available on our website that lists all Quarterly report filing dates. It is the Committee's responsibility for taking notice of Board mailings and meeting the filing requirements. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$200 and the \$650 civil penalty will be due and owing.

(As of 3/31/15, this Committee reported a funds available balance of \$2.76.)



Kim Patrick – Hearing Officer
April 9, 2015

State of Illinois)
County of: DuPage)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **15 MAR 16 PM 1:55**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS TO ELECT RANDY RAMEY)
Respondent(s).)

Case No. 145Q036
16628

APPEAL AFFIDAVIT

I, HARRY R. RAMEY, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CITIZENS TO ELECT RANDY RAMEY
(Name of the Committee)

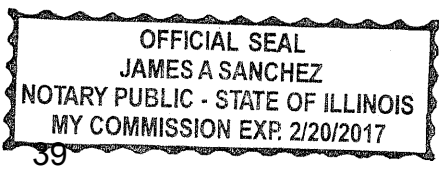
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I WAS NOT INFORMED OF THIS ISSUE
I WAS NOT AWARE OF THIS ISSUE
ACCOUNT HAS A \$2.76 BALANCE AND HAS BEEN INACTIVE FOR 2 YEARS
I WOULD REQUEST THAT THIS ASSESSMENT BE REMOVED.

Harry R. Ramey
Signature of Chairman/Treasurer

Signed and Sworn to by: *[Signature]*
before me this 15th Day of March, 2015

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 16628

Citizens to Elect Randy Ramey
1879 N Neltor Blvd, # 325
West Chicago, IL 60185-5932

7014 2120 0001 1722 6866

Dear Citizens to Elect Randy Ramey:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/28/2014, 9 day(s) late. As such, this committee has been assessed a fine of \$450.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2014	Quarterly	\$200
TOTAL AMOUNT NOW DUE		\$650.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

14 AS 028

Friends of Dart

19319

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing

A Schedule A-1 in the 3rd Quarter of 2014


This committee received a \$1,500 contribution on 9/2/14 and four \$1,000 contributions on 9/2/14, 9/3/14, 9/4/14 and 9/11/14 and failed to report them on a Schedule A-1 resulting in civil penalty of \$2,525. Additionally, the Committee had previously been assessed a \$13 (not appealed, reduced, paid) civil penalty for failing to file a Schedule A-1 in the 2006 General Primary; and a \$125 (not appealed, reduced, paid) civil penalty for failing to file a Schedule A-1 in the 2006 General Election. The total assessment is \$2,525.

The person who files the reports on behalf of the Committee, Thomas Leonard, appeared at the appeal hearing.

Mr. Leonard stated that the Committee had an unexpected officer change as their treasurer obtained a new job and left town. Mr. Leonard stated that he obtained a crash course on the campaign disclosure requirements and he tried to absorb all of the institutional knowledge he could however he did not realize that contributions of \$1,000 or more had a special filing requirement. He stated that the Committee takes their filing requirements seriously and as such he had an accountant assist in the preparation of the September 2014 Quarterly Report and they have obtained the professional services of CliftonLarsonAllen to notify the Committee whenever electronic deposits are made into their account to make sure these type of contributions are timely reported in the future. Mr. Leonard stated that while he was preparing the Quarterly Report he ran into an issue with trying to reconcile the quarterly report with the bank statements because the bank statements show deposits per day rather than by deposit. The Committee held a Cubs Rooftop fundraiser in September and all of the deposits for the fundraiser were electronic deposits. Mr. Leonard stated that the electronic filing notices were not set up correctly and the Committee was not being made aware of the deposits at first however this was later corrected and the Committee received around 160 electronic notices all at once. Mr. Leonard brought to the hearing copies of the five electronic notices and he was not sure when they were received but they appeared as if they were e-mailed to him on the dates that were reported on the Quarterly Report. He stated that once he realized that he should have filed a Schedule A-1 report for the five contributions in question he immediately contacted the State Board of Elections staff about the situation and sought guidance on what could be done to rectify the situation. He was informed that he could not do anything at that point in time and he would have to wait for the appeal process.

The Hearing Officer is of the belief that the Committee reported the correct receipt date for the five contributions in question. The information supplied on the notice appears to have been e-mailed to Mr. Leonard on the dates the Committee reported receiving them and a contribution is deemed to be received on the date a committee receives notice of such a deposit. Therefore, it is the opinion of the Hearing

Officer that the Committee should have filed a Schedule A-1 for each of these contributions within 5 business days of the date that Mr. Leonard received each notice. Additionally, the Hearing Officer also believes that turnover in committee officers and committee members not completely understanding the filing requirements are not an adequate defense and I recommend the appeal be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is to be considered the first set of Schedule A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$253. If these recommendations are accepted by the Board, the \$253 civil penalty will be due and owing. (As of 3/31/15, this Committee reported a funds available balance of \$290,538.15.)


Andy Nauman – Hearing Officer
April 21, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
15 MAR 12 AM 8:51

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Friends of Dart (10#19319))
Respondent(s).)

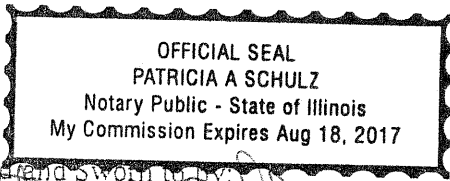
Case No. 14A5028

APPEAL AFFIDAVIT

Peter T. Wall, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Dart
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

"mitigating circumstances"



Peter T. Wall
Signature of Chairman/Treasurer

Subscribed and sworn to by: Patricia A. Schulz
Before me this 6th Day of March, 2015

Notary Public
(Signature)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Schoiz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015
ID# 19319

Friends of Dart
33 N Dearborn St, Ste 2200
Chicago, IL 60602-3857

7014 2120 0001 1723 3345

Dear Friends of Dart:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Terrence Coughlan	9/2/2014	\$1050	*	24	\$525 -
Arthur Hannus	9/2/2014	\$1000	*	24	\$500 -
Michelle Shang	9/4/2014	\$1000	*	22	\$500 -
Gary Sullivan	9/3/2014	\$1000	*	23	\$500 -
Chicago Association of Realtors PAC	9/11/2014	\$1000	*	17	\$500 -

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2525.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$253.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

15 MA 019

Chicago Latino Public Affairs Committee ID# 22755

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

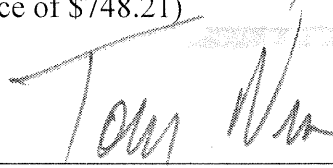
The Committee received a \$5,000 contribution and a \$1,600 contribution on 7/16/14, and reported both on a Schedule A-1 received by the Board on 7/25/14, 2 days late, resulting in a civil penalty assessment of \$3,300. The Committee also received a \$1,000 contribution on 10/30/14 and reported it on a Schedule A-1 received by the Board on 11/4/14, 1 day late, resulting in a civil penalty assessment of \$500. Additionally, the Committee was previously assessed a \$275 civil penalty (not appealed, unpaid) for delinquent filing of a Schedule A-1 in the 3rd quarter of 2012, a \$675 civil penalty (not appealed, unpaid) for delinquent filing of a Schedule A-1 in the 3rd quarter of 2011, a \$5,000 civil penalty (not appealed, unpaid) for delinquent filing of the December 2010 Semi-Annual Report, a \$2,200 civil penalty (appealed, denied, unpaid) for delinquent filing of the December 2008 Semi-Annual Report, a \$4,200 civil penalty (appealed, denied, unpaid) for delinquent filing of the June 2008 Semi-Annual Report, a \$3,200 civil penalty (appealed, denied, unpaid) for delinquent filing of the June 2007 Semi-Annual Report, and a \$5,000 civil penalty (not appealed, unpaid) for delinquent filing of the June 2006 Semi-Annual Report. The total assessment is \$24,350.

Homero Tristan, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Tristan states that the late filing of the first two A-1 contributions occurred during a period when the Committee staffer who handled the filings was out of the country due to a family emergency. He says the Committee made a good faith effort to file the required A-1 in a timely fashion. Another staffer entered the contributions into the IDIS electronic filing system, but apparently failed to actually file the A-1. He suggests the staffer may have mistakenly thought the word "filed" on the top of the Report meant it had been properly submitted. In regards to the third contribution, Mr. Tristan says the Committee miscalculated the number of days in which it had to file the Report.

The word "filed" on the top of a report is essentially a place-holder, where the date and time filed will appear once the report is actually submitted. While I can understand the possibility that this might confuse someone new to report filing, I can find no indication that the Committee actually attempted to file the Schedule A-1s within the 5 business days required by law. There are multiple ways to confirm that a Schedule A-1 has been properly filed and received by the Board, including checking the Board's website, viewing the previously filed reports within the Committee's IDIS database, or even checking the reports due now page within IDIS, which continues to show a report as being due until it is actually filed. Given this Committee's past history of delinquent filings, availing itself of at least one of these confirmation options should have been standard procedure. I recommend the appeal be denied for all 3

A-1 violations. Although there is no indication the violations were anything other than inadvertent and unintentional, since these represent the third set of A-1 violations for this Committee, I recommend the penalty remain at the originally assessed amount of \$3,300. If these recommendations are accepted by the Board, the total assessment of \$24,350 will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$748.21)

A handwritten signature in dark ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman – Hearing Officer

April 8, 2015

STATE BOARD OF ELECTIONS

15 MAR 30 PM 3:35

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CHICAGO LATINO PUBLIC)
Respondent(s).)

Case No. 15MR019

APPEAL AFFIDAVIT

I, HOMERO TRISTAN, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CHICAGO LATINO PUBLIC AFFAIRS COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

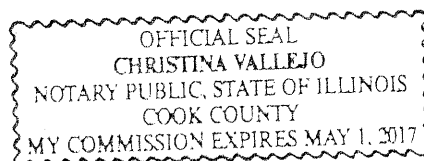
PLEASE SEE ATTACHED STATEMENT.

Signed and Sworn to by:

before me this 26th Day of
March, 2015

Christina Vallejo
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**APPEAL AFFIDAVIT STATEMENT: CHICAGO LATINO PUBLIC AFFAIRS
COMMITTEE**

1. This appeal is regarding the Civil Penalty Assessment for Failing to File a Schedule A-1 Report in the third quarter of 2014. The Committee received one \$1,600 and one \$5,000 contribution on 7/16/2014 and the A-1 Reports were received on 7/25/2014, 2 days late. The total assessment is \$3,300.

Staffer, Alba Guerrero has handled and continues to handle all of the Committee's reporting. Unfortunately, Alba was out of the country from July 8, 2014 to July 25, 2014 due to a family emergency. The two contributions at issue were completed during this time, on July 16, 2014. However, the Committee did indeed make a good faith effort to file the required Schedule A-1 in a timely fashion.

The Committee Chairman appointed another staffer, Jonathan Stitt, to take care of the subject filings. Given it was his first time, Jonathan reached out to the Board of Elections for assistance on the filing process. Jonathan proceeded with the timely filing attempt with the Board's guidance, but incorrectly believed that the reporting was complete. Jonathan inadvertently only recorded the contribution but did not properly file the A-1 report. *Please see the attached email.* It seems Mr. Stitt may have mistakenly thought the word "filed" appearing on the top of the report meant it had been filed. Upon Alba Guerrero's return to the office on July 25, she realized the mistake and properly filed the reports.

The Respondent respectfully requests that the Board waive or reduce the subject fines.

2. This appeal is regarding the Civil Penalty Assessment for Failing to File a Schedule A-1 Report in the fourth quarter of 2014. The Committee received one \$1,000 contribution on 10/30/2014 and the A-1 Report was received on 11/4/2014, 1 day late. The total assessment is \$500.

The Committee miscalculated the number of days it had in which to file the A-1 after receiving the contribution at issue. This error was inadvertent and unintentional, and will not be repeated again.

The Respondent respectfully requests that the Board waive or reduce the subject fine.

Alba Guerrero

From: Jonathan Stitt
Sent: Friday, July 25, 2014 10:50 AM
To: Alba Guerrero
Subject: RE: cl pac

I did not print an A1, but I did speak with an individual at the Board of Elections to assist me. I explained the situation about how you were out due to an emergency and he was very understanding. If there is something else that needs to be filed, I'm sure they would understand. Based on my understanding, it was reported correctly. Please let me know if I can assist in any way.

Thanks,

Jonathan K. Stitt, Esq.

TRISTAN & CERVANTES

30 W. Monroe Street, Suite 630

Chicago, IL 60603

(t) 312.345.9200

(f) 312.345.1533

jstitt@tristancervantes.com

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From: Alba Guerrero
Sent: Friday, July 25, 2014 10:48 AM
To: Jonathan Stitt
Subject: RE: cl pac

Jonathan,
Thank you for taking care.

I see the listing reported amounts, but by any chance did you print a report called A1 or did you contact the department board of Elections?

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Chicago Latino Public Affairs Committee
Homero Tristan, Pedro Cervantes
11 E Adams, Ste 1100
Chicago, IL 60603

ID# 22755

7014 2120 0001 1716 1914

Dear Chicago Latino Public Affairs Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Michael Brown	7/16/2014	\$5000	7/25/2014	2	\$2500
Tristan & Cervantes	7/16/2014	\$1600	7/25/2014	2	\$800

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3300.00 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3300.00, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Christopher Shaffer	10/30/2014	\$1000	11/4/2014	1	\$500

Your committee is subject to a fine of \$500.00 for delinquent filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00 (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$3800.00

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
June 2006	Semi-Annual	\$500 X
June 2007	Semi-Annual	\$3200
December 2008	Semi-Annual	\$2200
June 2008	Semi-Annual	\$4200
December 2010	Semi-Annual	\$5000
September 2011	A1	<i>pd</i> \$675
September 2012	A1	\$275
TOTAL AMOUNT NOW DUE		\$24350 19175

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

14 AM 084

The Chicago Committee (ID 23526)
Respondent

REPORT OF HEARING OFFICER

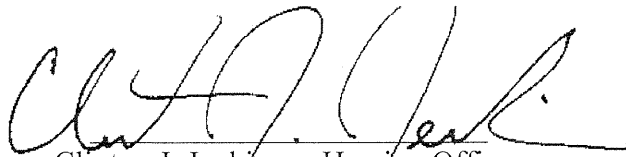
Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports
For the 1st Quarter of 2014

The Committee received \$21,100 contribution on 1/22/2014 yet failed to file a Schedule A-1 with the Board, making this contribution 51 days late, resulting in a \$10,550 civil penalty. In addition the committee was assessed a \$500 civil penalty (reduced, stayed) for delinquently filing a Schedule A-1 Report for the 2013 March Quarterly. The total assessment is \$11,050.

Michael J. Kasper, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on January 28, 2015.

The Chicago Committee did not appear for the hearing. The appeal affidavit stated the penalty was wrongfully assessed.

I recommend the appeal be denied for the \$10,550 civil penalty assessment for the failure to file a Schedule A-1 for the \$21,100 contribution from Grosvenor Holdings LLC for lack of an adequate defense. The committee failed to appear for two separately scheduled hearing dates for this matter. Since this is the Committee's second Schedule A-1 violation, I recommend the fine be reduced by 50%. The previous stayed fine amount of \$500 will also be added. If this recommendation is accepted by the Board, a \$5775 civil penalty will be due. (As of 12/31/14, this Committee reported a funds available balance of \$6,329.88).



Clinton J. Jenkins – Hearing Officer

February 23, 2015

State of Illinois)
 County of: Cook)

STATE BOARD OF ELECTIONS

14 DEC 11 PM 4:10

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 14A4084The Chicago Committee

Respondent(s);

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the
 (Name) (Chairman/Treasurer)

The Chicago Committee

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

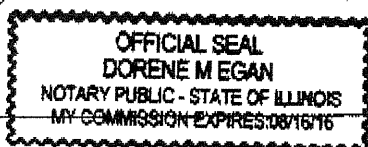
Penalty was wrongfully assessed. Reasons to be presented at the hearing.

Signature of Chairman/Treasurer
 Attorney

Signed and Sworn to by:
Dorene M. Egan

before me this 11th Day of December, 20 14

Notary Public
 (seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

November 14, 2014

The Chicago Committee
c/o Paul Levy
2242 N Cleveland Ave
Chicago, IL 60614-3749

ID# 23526

7013 1710 0001 8744 7216

Dear The Chicago Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Grosvenor Holdings LLC	1/22/2014	\$21100	*	51	\$10550

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$10550.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5275.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 15, 2014 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2013 March	A 1	\$500
TOTAL AMOUNT NOW DUE		\$5775

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with the first name "Sharon" written in a larger, more prominent script than the last name "Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

14 MA 029

Citizens to Elect Caroline Kennedy Elkins 25592

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for
Delinquently Filing the June 2014 Quarterly Report and
Failing to file a Schedule A-1 in the 4th Quarter of 2013

The June 2014 Quarterly Report was filed on September 16, 2014, 44 days late, resulting in a civil penalty assessment of \$2,200. This committee also received a \$1,600 contribution on December 2, 2013 and failed to report the contribution to the Board on a Schedule A-1 resulting in a civil penalty assessment of \$800. Additionally, the Committee had previously been assessed a \$725 civil penalty (not appealed, stayed) for delinquently filing the December 2013 Quarterly Report. The total assessment is \$3,725.


The Respondent was represented by attorney Thomas Jaconetty at the March 3rd appeal hearing. Also in attendance was Perran Wetzel, the accountant and the person who prepared the reports, and Mark Elkins, the chairman and the candidate's husband.

Mr. Jaconetty submitted to the hearing officer a written summary containing Exhibits A-G (attached).

Mr. Wetzel, the treasurer of the Committee, stated that he has been a CPA for many years however this campaign was his first and most likely his last experience in dealing with the financial disclosures of a committee. He explained that he filed the Committee's three Quarterly Reports however he encountered electronic filing difficulties along the way which required contacting the Board staff on multiple occasions and at no point in time was he ever informed that he had to file additional forms or schedules. Mr. Wetzel stated that the program was unlike any he has used in his accounting practice and that he was use to programs warning him if something was wrong or simply preventing him from continuing if something was wrong. He stated that it was his intent to file the reports correctly and accurately however he was unaware that he had to file a special report when contributions of \$1,000 or more were received and as an accountant he did not consider a loan to be a contribution because the expectation is that the source would be paid back. Mr. Jaconetty stated that the campaign was very short lived (45 days) because they were unable to defend a petition objection (details of the objection are in Exhibit G) and Mr. Wetzel stated that he assumed since the candidate was out of the race no further reports were required. Mr. Wetzel further explained that he thought he filed a Final Report rather than a Quarterly report for both the 1st and 2nd quarters of 2014 and it was not until the hearing that he realized the Committee had not been closed or Finalized. Mr. Elkins also stated that he contacted the State Board of Elections about filing a Final Report and he was informed that the Committee could not electronically file a Final Report for a reporting period that had already been filed as a quarterly report because the Committee was still reporting that they had funds on hand. Mr. Wetzel stated that the Committee's bank statements were used in preparing the quarterly reports and he was confident all of the Committee's financial activity was

reported on the three reports that he filed. Mr. Jaconetty stated that the Committee took in a little over \$4,000 of which more than \$3,600 came from the candidate's husband, Mark Elkins which were mostly loans. Mr. Elkins stated that he called the State Board of Elections in relation to the prior civil penalty of \$725 and it was his understanding from the conversation that he had with staff that the \$725 civil penalty was waived rather than stayed. Mr. Jaconetty requests that the Board use the discretion that is allowed to them and they waive the Schedule A-1 violation and stay both civil penalties in relation to the quarterly reports. Mr. Elkins stated that his families' finances have been crushed. He has had some problems with his business partner and the fallout has caused him to lose an expected \$100,000 in revenue in the upcoming year. He has recently had to file for bankruptcy and he is dealing with a Federal tax lean. Furthermore, he has two teenage daughters that will be applying for college in the not so far future. The Respondent ask for leave to file a Final Report and that all civil penalties be either stayed or waived.

The Respondent stated that they have an electronic filing defense however the difficulties appear to have been primarily based on an unfamiliarity with the IDIS system and a lack of knowledge of the filing requirements rather than an actual computer issue. Furthermore, it appears the system issues they were having were on prior reports rather than the two reports in this appeal. Additionally, the Committee is not required to file reports electronically. Mr. Wetzel stated that he thought he had closed the Committee and didn't realize that he had to file the June 2014 Quarterly Report until after the filing deadline had passed. Furthermore, he stated that he prepared the report from the bank statements which he acquired after the quarterly report was already due. Additionally he stated that he was unaware of the filing requirement in relation to contributions of \$1,000 or more and that he would not have entered the \$1,600 contribution into the IDIS system until he prepared the quarterly report. It is unfortunate that Mr. Wetzel was unfamiliar with the filing requirements however as the treasurer of the Committee he is responsible for understanding the campaign finance laws and in this matter responsible for filing the reports for the Committee. Lack of knowledge in campaign finance matters and unfamiliarity with the electronic filing system is not an adequate defense, I recommend the appeal be denied. However, since there is no indication that Schedule A-1 violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment as a first violation, or \$80. If this recommendation is accepted by the Board, the stay will be lifted from the previously assessed \$725 civil penalty and the total civil penalty of \$3,725 (\$2,200 June 2014 Quarterly + \$80 Schedule A-1 + \$725 December 2013 stay removed) will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$831.97 however the Respondent stated during the hearing that those funds were depleted by bank fees and a partial loan repayment back to Mr. Elkins.)


Andy Nauman – Hearing Officer
March 4, 2015

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

14 DEC 15 AM 11:40

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS TO ELECT CAROLINE KENNEDY ELKINS)
Respondent(s).)

Case No. 14 MA 029
25592

APPEAL AFFIDAVIT

I, MARK ELKINS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

CITIZENS TO ELECT CAROLINE KENNEDY-ELKINS

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

D THIS WAS A SMALL FRIENDS AND FAMILY CAMPAIGN AND WE COLLECTED + SPENT A VERY MINIMAL AMOUNT OF MONEY. ① THE TREASURER IS A PERSONAL FRIEND. HE IS AN EXCELLENT ACCOUNTANT BUT HE HAS NEVER DONE ANY CAMPAIGN FINANCE BEFORE SOME DETAILS CONFUSED HIM + HE MISUNDERSTOOD. ② THE OFFICERS OF THE COMMITTEE HAVE NO PRIOR EXPERIENCE WITH THE ONLINE SYSTEMS AT THE BOARD OF ELECTIONS AND WE USED IT BUT MADE DATA ENTRY AND COMPUTER ERRORS. ③ THE OFFICERS OF THE COMMITTEE HAVE NEVER BEEN PART OF OR OFFICERS OF A CAMPAIGN COMMITTEE AND WERE IGNORANT OF THE ROLES AND RESPONSIBILITIES ASSOCIATED WITH THAT POSITION. THE COMMITTEE COLLECTED A TOTAL AMOUNT OF MONEY LESS THAN THE FINE. IT CURRENTLY HAS NO MONEY IT IS UNFAIR AND PUNITIVE TO LEVY SUCH BURDENSOME FINES BECAUSE ANY PAYMENTS WOULD REQUIRE THE OFFICERS TO PAY OUT OF THEIR PERSONAL FUNDS.

Signature of Chairman/Treasurer

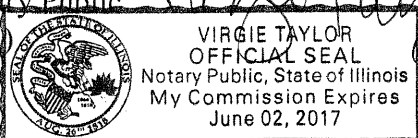
Signed and Sworn to by:

MARK ELKINS

before me this 12th Day of December, 2014

Notary Public

(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 14, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens to Elect Caroline Kennedy-Elkins ID# 25592
Perran Wetzel
3030 Finley Rd, Ste 110
Downers Grove, IL 60519

Dear Citizens to Elect Caroline Kennedy-Elkins:

Dear Citizens to Elect Caroline Kennedy-Elkins:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June 2014 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2014 through June 30, 2014
Filing Period:	July 1, 2014 through July 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 9/16/2014, 44 day(s) late. As such, this committee has been assessed a fine of \$2200.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Mark Elkins	12/2/2013	\$1600	*	54	\$800

The committee is fined a **total** of \$800.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$80.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2013 December	Quarterly	\$725
TOTAL AMOUNT NOW DUE		\$3725

The total for all assessments in this letter is \$3725.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by December 15, 2014. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

* This contribution was reported on the December 2013 Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

15 AD 043

Carol Ammons for State Representative

Cmte ID: 25651

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing Three (3) Schedule A-1 Reports

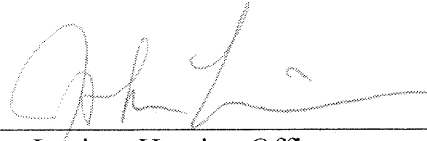
The Committee electronically filed three A-1s on January 15th, 2015. All three related to In Kind contributions from Personal PAC. One, in the amount of \$1,500, was dated November 14th, 2014, and was received 35 days late, leading to an assessment of \$750. Another, in the amount of \$1,930, was dated November 20th, 2014, and was 31 days late, resulting in a fine of \$965. A third In Kind contribution, in the amount of \$4,634, was also dated November 20th, 2014, and received 31 days late, for which the assessment is \$2,317. The total assessment for the three A-1s is \$4,032. These are the Committee's first fines.

Wayne Williams, the Treasurer of the Committee, filed an Appeal Affidavit in this matter. Mr. Williams, along with Representative Ammons, the Candidate supported by the Committee, also participated in a hearing via teleconference with the Board's Springfield office at 10am, Tuesday, May 5th, 2015.

Mr. Williams stated in the affidavit and at the hearing that Personal PAC sent notification to an e-mail account he was using on behalf of the campaign on November 20th and 21st, 2014, with respect to the three In Kind donations in question. After the 2014 General Election (on November 4th, 2014) Mr. Williams acknowledged he did not check the e-mail account as regularly as he had prior to that point. He first discovered the e-mails from Personal PAC in January, 2015, and uploaded the A-1s on January 15th, 2015.

I recommend the appeal be denied for lack of an adequate defense. While the Committee has presented a reasonable defense and is to be commended for acknowledging its role in the oversight, the responsibility for timely reporting of larger campaign donations compels a greater level of vigilance than was demonstrated here. Mr. Williams stated, in filing the A-1s, he mistakenly entered dates on which Personal PAC made the In Kind contributions, as opposed to the dates of notification, but the A-1s would have been well beyond the five business day deadline in any event. If the Board accepts this recommendation, the assessment would automatically be reduced to \$403 (10% of the above-referenced fine amount) as the product of a

first Schedule A-1 offense and would be due and owing. As of March 31st, 2015, the Committee reported cash on hand of \$73,409.14.

A handwritten signature in dark ink, appearing to read 'John Levin', is positioned above a horizontal line.

John Levin – Hearing Officer
May 5th, 2015

State of Illinois)
County of: Sangamon)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Carol Ammons For State Representative)
Respondent(s).)

Case No. 15AD043

APPEAL AFFIDAVIT

I, Wayne Williams, the Treasurer of the
(Name) (Chairman/Treasurer)
Carol Ammons For State Representative
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached notarized statement

Wayne Williams
Signature of Chairman/Treasurer

Signed and Sworn to by:
Wayne Williams
before me this 9th Day of March, 2015
Notary Public Mary F Klein, notary
(seal)

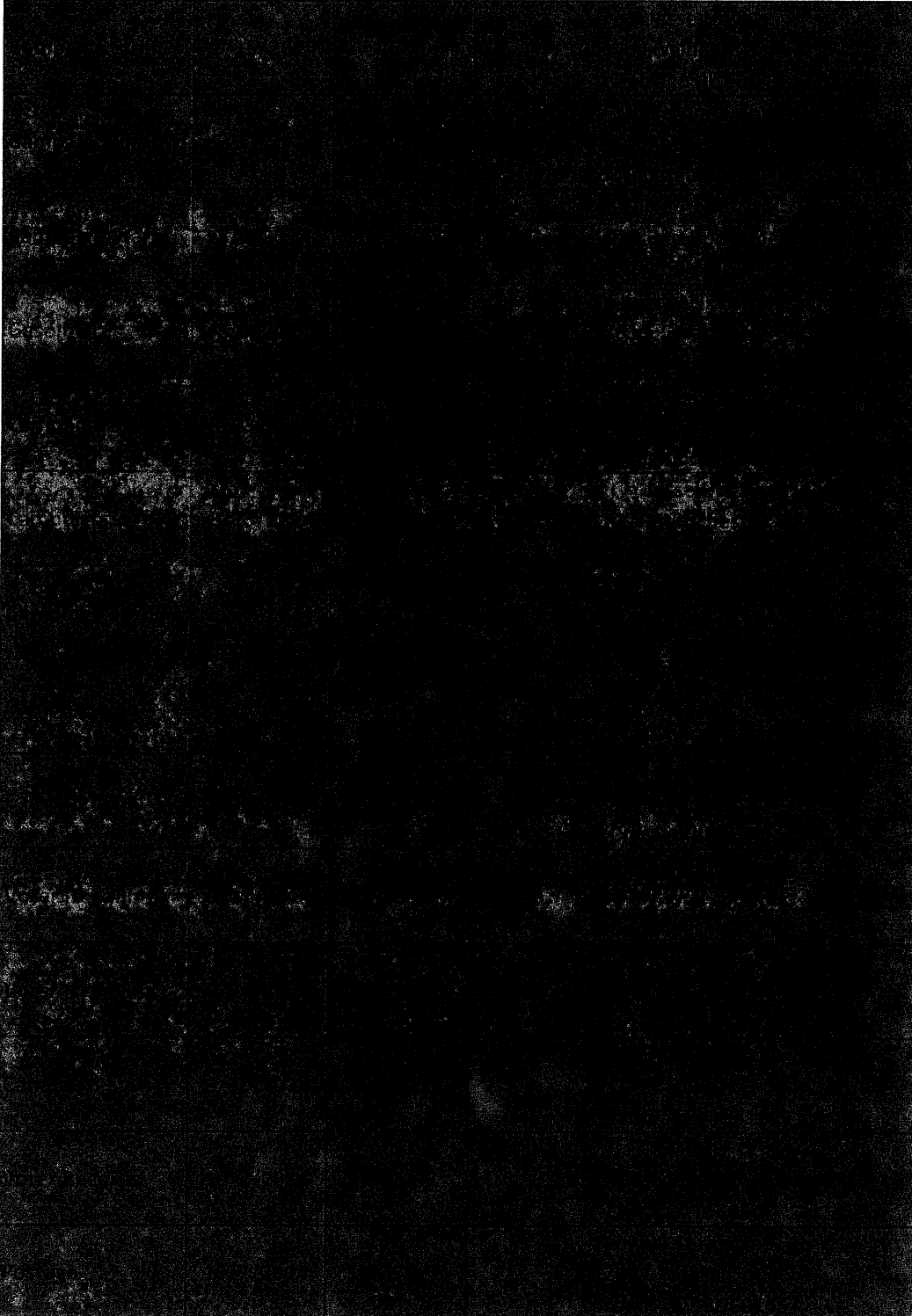
Mary F. Klein
Notary Public, State of Illinois
My Commission Expires 11/3/2017

Official Seal
Mary F. Klein
Notary Public, State of Illinois
My Commission Expires 11/3/2017

Carol Ammons for State Rep
PO Box 53
Urbana, IL 61803-0053

STATE BOARD OF ELECTIONS

15 MAY -5 AM 10: 01

ITEM	DATE PAID	VALUE	VENDOR
			

Carol Ammons for State Rep
PO Box 53
Urbana, IL 61803-0053

STATE BOARD OF ELECTIONS

15 MAY -5 AM 10:01

ITEM	DATE PAID	VALUE	VENDOR
[REDACTED]			

Printing	11/20/2014	\$1,930.00	Virtual Sprint, Inc. 2001 Parkes Drive Broadview, IL 60155
GOTV Calls	11/20/2014	\$157.05	Powell Phones 607 N.W. 22nd Ave Portland, OR 97210
Graphic Design	11/20/2014	\$195.00	BKD Graphics 600 N. California Ave. Mundelein, IL 60060
Printing	11/21/2014	\$4,634.00	Virtual Sprint, Inc. 2001 Parkes Drive Broadview, IL 60155

*Already reported in previous notice of in-kind contributions

Your committee must report these in kind donations on the quarterly Form D-2. Any contribution greater or equal to \$1000 in value must be reported to the State Board of Elections within 48 hours of receipt during the 30 days prior to an election.



Terry Cosgrove, President & CEO

11/21/2014

Date

FROM: Personal PAC - 134 N. LaSalle St., Suite 2040 - Chicago, IL 60602 - 312-422-0005

Democrat for Illinois State Representative of the 103rd District

Monday, March 9th, 2015

To Whom It May Concern:

I affirm the following is true to the best of my memory regarding the reporting of three contributions from Personal PAC in the amounts of \$1500, \$1930, and \$4634.

My name is Wayne Williams and I am the treasurer for Carol Ammons for State Representative. I am the only person in the campaign committee that is responsible for reporting campaign disclosure information through the online IDIS system.

Regarding the in-kind contributions from Personal PAC during the 2014 election, the campaign received in-kind contribution notices from Personal PAC via email on a frequent basis. I regularly reviewed these emails and immediately entered the information into IDIS when an A-1 was required. The email address I received these notification at was intentionally and solely used for campaign communication and is not my personal email address.

Many of the in-kind donations to the campaign were below \$1,000.00 and therefore were not entered. It was my understanding at the time that for the date of contribution I was supposed to enter the date of expenditure as indicated on the in-kind donation notification (The date the contributing committee incurred the expense). Many times these emails were filtered out of the campaign inbox into the spam folder. I made a habit during the campaign of checking all the folders, including spam, on a regular basis. I typically did not let more than two days pass before checking the campaign email inbox, including all of the folders such as spam.

After the election on November 4th, 2014 the amount of election related email almost immediately stopped. I began checking the campaign email on a less frequent basis as I did during the campaign. On or about January 10th, 2015 I began entering in all of the transactions the campaign incurred during the fourth quarter of 2014. On or about January 14th, 2014 I began to enter all not-itemized and sub \$1,000.00 in-kind contributions. I went back to the campaign email and did a search for in-kind contributions. It was during this search that I found the unopened emails from Personal PAC. I promptly reported the contributions however as per my previous practice I reported as the date of contribution the date indicated on the in kind notification. I learned later after calling the State Board of Elections on about January 26th, 2014 that I should have in fact reported as the date of contribution the date I opened the email. As I did not regularly check this email account after the election this contributed to the long delay between when Personal PAC originally sent the emails and when I subsequently opened the emails. Personal Pac actually sent the email about the \$1,500 in-kind contribution on November 20th, 2014 and the \$1,930 and \$4,634 in-kind contributions on November

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Carol Ammons for State Representative
Wayne Williams
PO Box 53
Urbana, IL 61803

ID# 25651

7014 2120 0001 1716 4960

Dear Carol Ammons for State Representative:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Personal PAC	11/14/2014	\$1500	1/15/2015	35	\$750
Personal PAC	11/20/2014	\$1930	1/15/2015	31	\$965
Personal PAC	11/20/2014	\$4634	1/15/2015	31	\$2317

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$4032.00 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$403.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with the first name "Sharon" written in a larger, more prominent script than the last name "Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

14 CD 118

ERA PAC,
Respondent.

ID# 697

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2012, September 2012, December 2012, March 2013, June 2013, September 2013, December 2013, March 2014 and June 2014 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 1, 2014, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

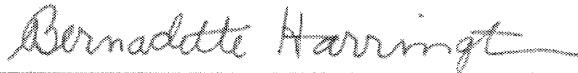
The Respondent filed a Statement of Organization on September 27, 1977 with the purpose of achieving ratification of ERA. The Respondent most recently filed the March 2012 Quarterly Report, which shows a positive balance of \$3270.35. As of the date of this report, Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

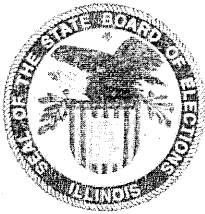
CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer

April 1, 2015



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 14 CD 118

NAME AND ADDRESS OF RESPONDENT:

ERA PAC ID# 697

1001 Edgebrook Ln
Glencoe, IL 600221001 Edgebrook Ln

Gayle Guthrie
3200 N. Lake Shore
Chicago, IL 60657

Karen Boehning
4300 N. Marine Dr. #705
Chicago, IL 60613

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 June, September, December quarterly reports, 2013 March, June, September, December quarterly reports, 2014 March and June quarterly reports.

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 1, 2014
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

14 CD 128

Citizens for Leys,
Respondent.

ID# 15682

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2014 and June 2014 Quarterly Reports of Campaign Contributions and Expenditures.

STATE'S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 1, 2014, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT'S CASE-IN-CHIEF

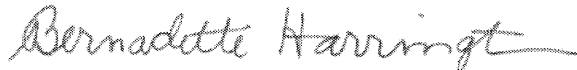
The Respondent filed a Statement of Organization on November 15, 2000 with the purpose of electing Paul Leys to political office in the State of Illinois. The Respondent most recently filed the March 2013 Quarterly Report, which shows a zero balance. As of the date of this report, Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT'S CASE-IN-CHIEF

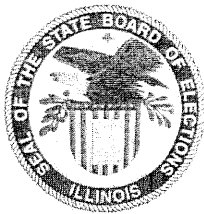
The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
April 1, 2015



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 14 CD 128

NAME AND ADDRESS OF RESPONDENT:

Citizens for Leys ID# 15682
310 Busse Hwy, Ste 323 Paul Leys
Park Ridge, IL 60068 310 Busse Hwy, Ste 323

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)


Failure to file the 2014 March and June quarterly reports.

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 1, 2014
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN
VERIFICATION MUST BE SIGNED BY AN
AUTHORIZED OFFICER AND ATTESTED TO BY THE
SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

14 CD 129

Citizens for Colvin,
Respondent.

ID# 16242

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file March 2014 and June 2014 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 1, 2014, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

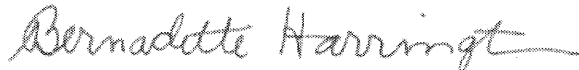
The Respondent filed a Statement of Organization on October 4, 2001 with the purpose of retaining and electing Colvin to public office. The Respondent most recently filed the December 2011 Quarterly Report, which shows a positive balance of \$68,502.10. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer

April 1, 2015

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

14 CD 132

Boaters PAC, NFP,
Respondent.

ID# 17551

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file June 2014 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 1, 2014, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on March 4, 2003 with the purpose of supporting the maritime interests of the Greater Chicago Area as well as Chicago's lakefront. The Respondent most recently filed the March 2014 Quarterly Report, which shows a positive balance of \$2166.72. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

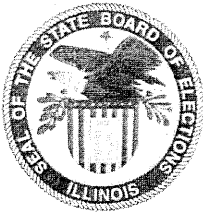
CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington

Bernadette Harrington – Hearing Officer

April 1, 2015



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 14 CD 132

NAME AND ADDRESS OF RESPONDENT:

Boaters PAC, NFP ID# 17551
3726 N. Odell Ave
Chicago, IL 606343726 N. Odell Ave

Jenou Olech
1933 S. State Street
Chicago, IL 60616

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)


Failure to file the 2014 June quarterly report.

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 1, 2014
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

14 CD 141

Boone County Young Republicans Club,
Respondent.

ID# 21138

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file March 2014 and June 2014 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 1, 2014, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

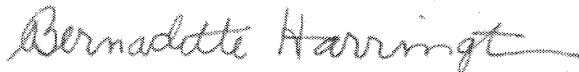
The Respondent filed a Statement of Organization on February 9, 2008 with the purpose of supporting Republican candidates for office. The Respondent most recently filed the December 2013 Quarterly Report, which shows a positive balance of \$1857.41. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

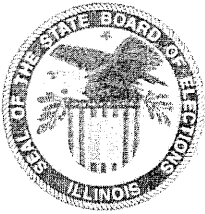
The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
April 1, 2015



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 14 CD 141

NAME AND ADDRESS OF RESPONDENT:

Boone County Young Republican Club
PO Box 7
Belvidere, IL 61008

ID# 21138
Gregory McLain, Anthony Dini
220 Bear Dusk Way
Belvidere, IL 61008

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2014 March and June quarterly reports.

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 1, 2014
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

14 CD 143

Citizens for Tyler Smith,
Respondent.

ID# 21819

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file March 2014 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 1, 2014, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

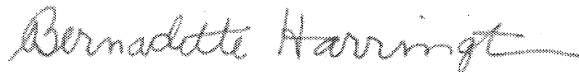
The Respondent filed a Statement of Organization on February 24, 2009 with the purpose of supporting candidate Tyler Smith. The Respondent most recently filed the September 2014 Quarterly Report, which shows a positive balance of \$733.13. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer

April 1, 2015



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 14 CD 143

NAME AND ADDRESS OF RESPONDENT:

Citizens for Tyler Smith ID# 21819
6701 N. Main Street
Rockford, IL 61103

Tyler Smith
113 Lawn Place
Rockford, IL 61103

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

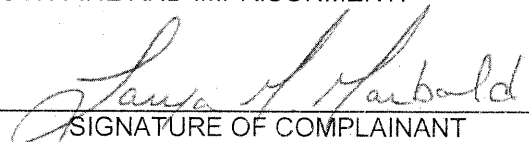
Failure to file the 2014 March quarterly report.

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 1, 2014
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Members of the Board, Steve Sandvoss, Executive Director, Ken Menzel, General Counsel

Re: Contribution Limit Penalties Necessitating a Final Board Order

Date: May 11, 2015

Listed below are committees that have been assessed a civil penalty for violation of the contribution limits provided in 10 ILCS 5/9-8.5. These violations were not appealed & should be issued a Final Board Order.

Cmte No	Cmte Name	Amt of Fine	% Reduction	Escheatment
15753	Citz for David Webb	\$1500/\$1500	\$100/\$750	\$1000/\$1500

(** - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: May 6, 2015

The following committees have made payment of outstanding civil penalties for the period 4/10/2015 - 5/06/2015

- Friends of Leslie Sgro – \$1000.00
- Franco for Mayor - \$1200.00
- Friends of Ann M Williams - \$150.00
- Friends of Linda Fulgenzi - \$275.00
- Friends of Dan Irving - \$75.00
- Committee for Municipal Electricity Choice - \$150.00
- Friends of Tony Michelassi - \$225.00
- Citizens for Rod Bashir - \$150.00
- Village Pride Party - \$225.00
- Unit Five Education Assn IPACE - \$285.00
- Unit Five Education Assn IPACE - \$200.00
- Cunningham for County Clerk - \$825.00
- Engineers Political Action Committee - \$400.00
- Citizens for Lightford - \$1411.00
- Friends of John Pope - \$50.00
- Citizens to Re-Elect John Pope - \$1875.00
- Stand for Children IL PAC - \$6025.00
- McKinley for Illinois - \$250.00
- Marion County Republican Central Committee - \$300.00
- Start PAC - \$350.00
- Committee to Elect Wayne Motley - \$100.00
- Citizens for May - \$330.00
- Tony Blumberg for Highland Park City Council - \$675.00
- Citizens to Elect Andre Thapedi - \$225.00
- Mary Rakers for Clinton County - \$350.00
- Heritage Community Party - \$850.00
- Vernon Township Democrats - \$200.00
- Citizens for Safe Streets - \$557.00
- Wayne Township Republican Org. - \$350.00
- Friends of Christian Mitchell - \$3875.00

- Democratic Citizens Assn of Caseyville Twp - \$950.00
- Collinsville Township Republican - \$150.00
- Batavia Twp. Republican Org. - \$300.00
- Skokie Caucus Party - \$125.00

TOTAL : \$24,458.00

Year to Date Totals:

01/09/15 to 2/6/15: \$85,439.85

2/7/15 to 3/4/15: \$1788.00

3/5/15 to 4/9/15: \$30,077.31

4/10/15 to 5/6/15: \$24,458.00

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
)
Edwin Reyes,)
)
Complainant(s),)
)
Vs.) 14 CD 005
)
)
First Ward Organization, Jesse)
)
Ruben Juarez,)
)
Respondent(s).)
)

ORDER

TO: Edwin Reyes First Ward Organization, Jesse Ruben Juarez
2738 North Mozart Street 1075 N. Marshfield Avenue
Chicago, IL 60618 Chicago, IL 60622

This matter coming to be heard this 21st day of April, 2015 as a Motion for Extension of Time for Compliance with a Final Order resulting from a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to timely file reports since the December 2009 Semi-annual report of Campaign Contributions and Expenditures, and the State Board of Elections having heard the recommendation of the General Counsel and now being fully advised in the premises,

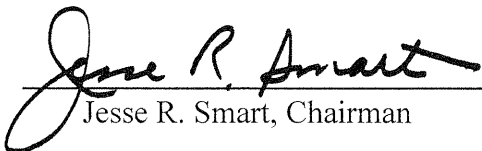
THE BOARD FINDS:

1. Counsel for First Ward Organization filed a Motion for Extension of Time for Compliance with a Final Order requesting an extension of the time period in which to come into compliance with the Board's Final Order dated February 20, 2015.

IT IS ORDERED:

1. The Motion for Extension of Time for Compliance with a Final Order is GRANTED; and
2. The committee file all remaining outstanding reports and amendments no later than the May 18, 2015 Board meeting, and
3. The effective date of this Order is April 23, 2015.

DATED: 4/23/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Edwin Reyes,)
 Complainant(s),)
 vs.)
)
First Ward Organization,)
 Respondent(s).)

14 CD 005

ORDER

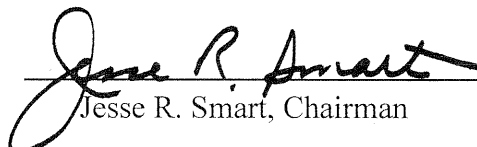
TO: Edwin Reyes	First Ward Organization
2738 North Mozart Street	1075 N. Marshfield Avenue
Chicago, IL 60618	Chicago, IL 60622

This matter coming to be heard this 17th day of March, 2014 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 & 5/9-11 in that the Respondent committee failed to timely file the December 2013 Quarterly Report of Campaign Contributions and Expenditures and failed to file a complete report; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

IT IS HEREBY ORDERED:

1. The matter is continued the April 2014 meeting of the State Board of Elections for the purpose of a status update; and
2. The record shall remain open for purposes for receiving additional material relative to the complaint, and
3. The effective date of this Order is March 19, 2014.

DATED: 3/19/2014


Jesse R. Smart, Chairman

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Respondent(s).

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STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
)
Edwin Reyes,)
 Complainant(s),)
 Vs.) 14 CD 006
)
)
1st Ward Democratic Committeeman)
Fund/Jesse Ruben Juarez,)
 Respondent(s).)
)

FINAL ORDER

TO: Edwin Reyes 1st Ward Democratic Committeeman's Fund/Jesse Ruben Juarez
 2738 North Mozart Street 1075 N. Marshfield Avenue
 Chicago, IL 60618 Chicago, IL 60622

This matter coming to be heard this 18th day of February, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent committee failed to file complete and accurate reports since the June 2012 quarterly report; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

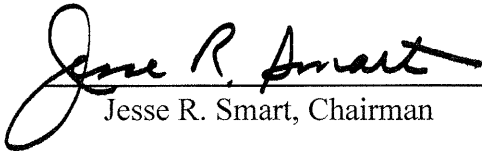
1. There were five inconsistencies between the balances on the Respondent's D-2 reports and their bank statements; and
2. There were \$18,000 in cash withdrawals from the Respondent to Jesse Juarez between January 1, 2012 and June 30, 2012, and
3. The testimony of Jesse Juarez that the withdrawals totaling \$18,000 were "street money" for political workers and each expenditure was under \$150 lacks credibility, and
4. The bank records for the Respondent were introduced into evidence; however, Respondent denies knowledge as to the existence of any committee records, and
5. Jesse Juarez made no effort to obtain any of the Respondent's committee records from campaign manager Brady Chalmers, and
6. The testimony of Jesse Juarez that he did not read the verification at the bottom of the D-2 report and was unaware of the content lack credibility, and

7. Based on the lack of credibility of the testimony of Jesse Juarez and the absence of any committee records, it is doubtful each and every expenditure by the Respondent totaling \$18,000 between January 1, 2012 and June 30, 2012 was under \$150, and
8. The bank account for Respondent was closed as of September 30, 2012; however, Respondent reported a balance of \$62,716.85 in 7 subsequent D-2 reports, and
9. Jesse Juarez was never instructed by the State Board of Elections to file inaccurate or false reports.

IT IS ORDERED:

1. The recommendation of the Hearing officer and the General Counsel is adopted; and
2. The respondent file amended Quarterly reports to correct the inconsistencies between the filed D-2 reports and the bank statements within 30 days of the effective date of this Order, and
3. The Board staff review any reports submitted to determine the assessment of fines and/or penalties, and
4. The Board refer the matter to the Cook County State's Attorney and the Illinois Attorney General for review of possible criminal violations, and
5. The effective date of this Order is February 20, 2015, and
6. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/20/2015


Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Steven S. Sandvoss, Executive Director

TO: Members of the SBE Advisory Committee

SUBJECT: Meeting

DATE: May 4, 2015

Attached you will find an agenda for the meeting of the SBE Advisory Committee on Monday, May 18, 2015 scheduled to begin at 9:00 a.m. in our Springfield office. We will have a video connection if anyone would like to attend in our Chicago office located on the 14th Floor of the Thompson Center.

In addition to the agenda items listed feel free to bring anything else to the table, and hopefully we will have time to discuss any and all items of concern to you during the course of the meeting.

We look forward to seeing you on the 18th.

Attachment

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

**ADVISORY COMMITTEE
AGENDA**

Monday, May 18, 2015

**2329 S. MacArthur Blvd.
Springfield, Illinois
9:00 a.m.**

- 1. Elections**
 - a. Special Congressional Election. 18th Congressional District**
 - b. 45 day report (MOVE).**
- 2. Legislation.**
- 3. Voter Registration: online and election day – informational.**
- 4. Advisory Committee reformation – July 2015.**
- 5. Other business.**
- 6. Next meeting of newly constituted Advisory Committee – Monday, September 21, 2015.**

STATE BOARD OF ELECTIONS



From the desk of.... **Kyle Thomas**
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

Kevin Turner
Director of Information Technology
Phone: 217-782-1579
Email: ktturner@elections.il.gov

To: Steven Sandvoss; Executive Director

Re: Senate Bill 172 Update

Date: May 6, 2015

The section of SB172 pertaining to the National Change of Address (NCOA) automatic registration update carries with it an implementation date of June 1st, the effective date of the legislation. This requires the SBE to match voter registration data with NCOA data. We are attempting to secure a contract with Anchor Computer, Inc., a company that has previously provided this service to the SBE. When the contract is in place and processing is complete, the results of the matches will be shared with the election authorities (EAs) through the IVRS portal.

Based on NCOA information received to date, EAs and their staffs could legally update voter registration data for individuals residing only within their jurisdiction. SB172's June 1st effective date combined with the upcoming NCOA match results provided by SBE, will allow the jurisdictions to begin automatically updating registrations for individuals who previously lived outside their jurisdiction. SBE IT is modifying IVRS to provide the registration information to the EAs using the same methods used in the Paperless Online Voter Application (POVA) system. The registration record will contain a field indicating the data is from NCOA. Vendors/IT departments of the EAs have been apprised of this change as modifications to the local systems will be necessary.

We participated in a number of conference calls this month to discuss the language contained in the agreement with the Electronic Registration Information Center (ERIC). The General Counsel for the Illinois Secretary of State has expressed several concerns including that of liability should data security be compromised. SBE's General Counsel is working with the SOS and ERIC to craft language addressing these issues. This will then become part of the agreement between the SBE and ERIC.

Special elections to fill the vacancy in the 18th Congressional District were not foreseen when the language for SB172 was drafted. Some of the mandates contained in the legislation will now apply to those elections. One example is a requirement that the EAs provide to the SBE the number of uncounted ballots following each election. This information is to be posted on SBE's public website and updated daily until the end of the 14 day period after the election. Given the time constraints before these special elections, we will collect the information from the 19 affected jurisdictions by email. SBE IT is creating a web page to display this information. A permanent and more automated solution will be in place for the 2016 election cycle.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
William M. McGuffage
Casandra B. Watson

MEMORANDUM

To: Chairman Smart, Vice Chairman Scholz
Members of the Board
Steve Sandvoss, Executive Director

From: Kenneth R. Menzel, General Counsel

Re: Required Review of the Minutes of Executive Sessions

Date: May 5, 2015

Pursuant to 5 ILCS 120/2.06 (d) of the Open Meetings Act, every public body is required to review the minutes of their closed meetings to determine whether or not there is a need for continuing confidentiality of such minutes. In accordance with this requirement, the Executive Director and I reviewed the minutes of the Executive Sessions of the State Board of Elections for the period of October 21, 2014 thru March 16, 2015, and have concluded that the necessity of confidentiality does not exist as to such minutes.

Please note that the release of the minutes of the Executive Sessions for public inspection does not automatically release the verbatim recording of such Sessions. A specific authorization of the Board would be required to release such recordings.

Respectfully submitted,



Kenneth R. Menzel, General Counsel



Illinois State Board of Elections

Memorandum

From the desk of Becky Glazier

TO: Steve Sandvoss, Executive Director

RE: Conference

DATE: May 4, 2015

I attended the Election Assistance Commission (EAC) Standards Board meeting in Williamsburg, Virginia April 28-29, 2015.

The conference started off on Tuesday with a review of the procedures for the Standards Board and the Board of Advisors as constituted under the Help America Vote Act. The Boards had not met since 2011. The Election Assistance Commission did not have a quorum of Commissioners so no meetings could be held. Chairwoman McCormick indicated that the EAC has now changed that policy and should that happen again the boards can continue to meet.

Participants voted on the new Executive Board for the Standards Board. Presentations were made by the EAC Commissioners and EAC staff on Federal Advisory Committee Act responsibilities, the role of the Boards under HAVA, EAC day to day operations, HAVA grants, research programs, and voting system testing and certification. Presentations were made by FVAP on the Federal Voting Assistance Program and the Bipartisan Policy Center on the Presidential Commission on Election Administration and EAC

The agenda was full and discussion among the state and local attendees was very informative and interesting.

Draft Agenda
Election Assistance Commission
Standards Board Meeting
Board of Advisors Meeting
The Woodlands Hotel, Williamsburg, Virginia
April 28-29, 2015
(subject to change and approval)

Tuesday, April 28, 2015:

<i>7:30-8:30 am</i>	Registration	<i>Woodlands Conference Center, Main Entrance</i>
	Breakfast	<i>Cascades Room/Terrace</i>
<i>8:30-9:00 am</i>	Welcome The Honorable Clyde Haulman, Mayor of Williamsburg	<i>Dogwood Room</i>
<i>9:00-9:30 am</i>	Welcome to plenary session from the Commission Christy McCormick, Chairwoman, EAC Passing of the Gavel: J. Bradley King, Standards Board Linda H. Lamone, Board of Advisors Call to Order	<i>Dogwood Room</i>
	Pledge of Allegiance	
	Roll Call, Standards Board Roll Call, Board of Advisors Welcoming Remarks from the Commissioners Christy McCormick, Chairwoman, EAC Thomas Hicks, Vice-Chair, EAC Matthew Masterson, Commissioner, EAC	
<i>9:30 am-10:00 am</i>	Procedures to Select Executive Boards Proxy Voting Rules Review Voting Process, Hours and Place Reports of Appointed Nomination Committees Standards Board ~ Dana DeBeauvoir/Robert Giles/Neal Kelley/Michelle Tassinari Board of Advisors ~ Sarah Ball Johnson/Linda H. Lamone Sample Ballots Distributed	<i>Dogwood Room</i>
<i>10:00-10:45 am</i>	Presentation of FACA responsibilities & role of Boards under HAVA Matthew Masterson, Commissioner, EAC	<i>Dogwood Room</i>

10:45-11:00 am	Break/Refreshments Voting Opens	Cascades Room/Terrace Magnolia Room
11:00 am-11:30am	Overview of Agency Operations Alice Miller, Chief Operating Officer & Acting Executive Director, EAC	Dogwood Room
11:30 am-Noon	Grants Briefing Monica Evans, Grants Director, EAC	Dogwood Room
Noon-1:30 pm	<i>Chris Thomas & Doug Lewis -</i> Lunch	Cascades Room/Terrace
	Keynote: The Intersection of the PCEA and the EAC John Fortier, Bipartisan Policy Center Donald Palmer, Bipartisan Policy Center Tammy Patrick, Bipartisan Policy Center	
	Voting Continues	Magnolia Room
1:30-3:00 pm	Testing & Certification/VVSG 1.1 Briefing Jessica Myers, EAC Brian Hancock, Testing & Certification Director, EAC Robert Giles, NASED Mary Brady, NIST	Dogwood Room
3:00-3:45 pm	Research Programs Briefing Karen Lynn-Dyson, Research Policy Programs Director, EAC	Dogwood Room
3:45-4:00 pm	Break/Refreshments	Cascades Room/Terrace
	Voting ENDS at 4:00 pm	Magnolia Room
4:00-4:30 pm	Status, State Testing & Certification Consortium Merle King, Kennesaw State University, Center for Election Systems Brian Hancock, Testing & Certification Director, EAC	Dogwood Room
4:30-5:00 pm	Accessibility Grant Briefing Monica Evans, Grants Director, EAC	Dogwood Room
	Breakout, Nominations Committees to certify Voting Results	Magnolia Room
5:00-5:15 pm	Voting Results Announcement Standards Board Nominating Committee Board of Advisors Nominating Committee	Dogwood Room
5:15-5:30 pm	Motions for Adjournment for the Day	

*Research
thumb drive*

*- EAC website
- Tennessee
video*

Dinner On your own (list of suggestions provided)

Evening Executive Board meetings
Standards Board *Garden Room*
Board of Advisors *Azalea Room 2*

Wednesday, April 29, 2015:

7:30-8:15 am Breakfast *Cascades Room/Terrace*

8:15-8:30 am Welcome *Dogwood Room*
New Executive Board Swearing In
Standards Board – Christy McCormick, Chairwoman, EAC
Board of Advisors – Thomas Hicks, Vice-Chair, EAC

8:30-9:00 am Federal Voting Assistance Program Briefing *Dogwood Room*
Matt Boehmer, Director FVAP

9:00-10:30 am Breakout:
Led by Executive Committees
~ Designate Bylaws Committee
~ Designate Executive Director Search Committee
~ Designate Voting Systems Standards Committee
~ TGDC Designee Process
~ Next Steps Discussion

Standards Board *Dogwood Room*

Board of Advisors *Maple Room*

10:30-10:45 am Break/Refreshments *Cascades Room/Terrace*

10:45 am–12:00 pm Committee Breakout Session:
(Executive Committee member to sit in each meeting
for Reporting Purposes)

Standards Board:
Bylaws Committee *Oak Room 1*
Executive Director Search Committee *Magnolia Room*
Voting Systems Standards Committee *Garden Room*

Board of Advisors:

Bylaws Committee
Executive Director Search Committee
Voting Systems Standards Committee

Azalea Room 2
Oak Room 2
Maple Room

Open Mike Session with EAC Commissioners
~ Priorities, Ideas, Suggestions, Comments

Dogwood Room

12:00- 12:15 pm

Motions for Adjournment
Dogwood Room

12:30-2:00 pm

Executive Board Meetings
Lunch
~ Formulate Reports for Public Meeting

Standards Board
Board of Advisors

Garden Room
Maple Room

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: April 30, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,662,400	\$4,558,300	\$3,429,237.66	\$0.00	\$1,129,062.34	75.23%
STATE PAID RETIREMENT	\$186,500	\$182,600	\$131,431.08	\$0.00	\$51,168.92	71.98%
RETIREMENT (inc. supplemental)	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$356,500	\$348,500	\$252,311.71	\$0.00	\$96,188.29	72.40%
CONTRACTUAL SERVICE	\$1,356,000	\$1,325,500	\$508,913.79	\$178,841.05	\$637,745.16	38.39%
TRAVEL	\$127,700	\$124,900	\$47,939.14	\$0.00	\$76,960.86	38.38%
PRINTING	\$28,200	\$27,400	\$5,758.10	\$0.00	\$21,641.90	21.01%
COMMODITIES	\$40,400	\$39,500	\$15,070.25	\$0.00	\$24,429.75	38.15%
EQUIPMENT	\$169,400	\$165,200	\$121,491.59	\$0.00	\$43,708.41	73.54%
TELECOMMUNICATIONS	\$144,900	\$141,700	\$78,386.17	\$0.00	\$63,313.83	55.32%
OPERATION OF AUTO EQUIPMENT	\$5,300	\$5,200	\$1,582.55	\$0.00	\$3,617.45	30.43%
ELECTION CODE BOOKS	\$17,500	\$17,100	\$0.00	\$0.00	\$17,100.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$577,200	\$564,200	\$400,290.62	\$0.00	\$163,909.38	70.95%
INTEREST PAYMENTS	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$7,672,000	\$7,500,100	\$4,992,412.66	\$178,841.05	\$2,328,846.29	66.56%
HAVA MAINTENANCE OF EFFORT	\$550,000	\$537,700	\$241,416.16	\$142,238.34	\$154,045.50	44.90%
CO CLERK & RECORDER STIPENDS (FUND 802)	\$799,500	\$799,500	\$0.00	\$0.00	\$799,500.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)	\$5,043,000	\$5,043,000	\$4,010,830.00	\$0.00	\$1,032,170.00	79.53%
ELECTION JUDGES/EARLY VOTING	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,378,000	\$3,301,200	\$0.00	\$0.00	\$3,301,200.00	0.00%
REDISTRICTING	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
IDIS SYSTEM REPLACEMENT	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$9,770,500.00	\$9,681,400.00	\$4,252,246.16	\$142,238.34	\$5,286,915.50	43.92%
TOTAL APPROPRIATION	\$17,442,500	\$17,181,500	\$9,244,658.82	\$321,079.39	\$7,615,761.79	53.81%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: April 30, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE					
1205 Freight Express & Drayage	\$3,000.00	\$2,900.00	\$386.10	\$2,513.90	13.31%
1221 Repair/Maint. Furn./Office Equipment					
1232 Rental Motor Vehicles	\$3,600.00	\$3,500.00	\$1,800.00	\$1,700.00	51.43%
1239 Rental, NEC	\$300.00	\$300.00	\$262.50	\$37.50	87.50%
1243 Book Binding Services					
1266 Court Reporting & Filing Services	\$18,000.00	\$17,600.00	\$6,419.25	\$11,180.75	36.47%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscriptions					
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues					
1279 Employee Tuition & Fees					
1280 Copying, Photographic & Printing Services	\$200.00	\$200.00	\$0.00	\$200.00	0.00%
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$1,000.00	\$1,000.00	\$226.71	\$773.29	22.67%
TRAVEL					
1291 In-State	\$19,000.00	\$12,900.00	\$2,501.55	\$10,398.45	19.39%
1292 Out-of-State	\$2,000.00	\$1,800.00	\$0.00	\$1,800.00	0.00%
1293 In-State (Vendor)		\$2,700.00	\$2,633.84	\$66.16	97.55%
1295 Mileage		\$3,200.00	\$3,158.34	\$41.66	98.70%
EQUIPMENT					
1510 Office Furniture & Equipment	\$700.00	\$600.00	\$0.00	\$600.00	0.00%
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					

BOARD GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$27,200.00	\$26,600.00	\$9,094.56		\$17,505.44
TRAVEL	\$21,000.00	\$20,600.00	\$8,293.73		\$12,306.27
EQUIPMENT	\$700.00	\$600.00	\$0.00		\$600.00
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00		\$0.00
TOTAL	\$48,900.00	\$47,800.00	\$17,388.29	\$0.00	\$30,411.71

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: April 30, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$863,100.00	\$843,800.00	\$651,371.16	\$192,428.84	77.19%
1129 State Paid Retirement	\$34,400.00	\$33,700.00	\$23,741.24	\$9,958.76	70.45%
1161 Retirement					
1161-008 Compt. Supplemental Retirement					
1170 Social Security	\$65,700.00	\$64,200.00	\$47,500.36	\$16,699.64	73.99%
CONTRACTUAL SERVICE					
1201 Petty Cash	\$400.00	\$300.00	\$122.61	\$177.39	40.87%
1202 Employee Reimbursement	\$200.00	\$200.00	\$149.00	\$51.00	74.50%
1205 Freight Express & Drayage	\$600.00	\$500.00		\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$14,100.00	\$4,818.53	\$9,281.47	34.17%
1223 Repair/Maint. Real Property	\$2,000.00	\$1,900.00	\$506.00	\$1,394.00	26.63%
1229 Repair & Maintenance NEC					
1230 In-House Repair & Maintenance					
1231 Rental, Office Equipment	\$32,800.00	\$30,000.00	\$2,168.97	\$27,831.03	7.23%
1232 Rental, Motor Vehicles	\$4,600.00	\$4,500.00	\$3,600.00	\$900.00	80.00%
1233 Rental, Real Property	\$399,800.00	\$399,800.00	\$305,368.60	\$94,431.40	76.38%
1234 Rental, Machinery & Mechanical Eqmt					
1239 Rental, NEC	\$1,000.00	\$500.00	\$0.00	\$500.00	0.00%
1240 Statistical & Tabulation Services	\$22,500.00	\$21,800.00	\$14,003.68	\$7,796.32	64.24%
1245 Professional & Artistic					
1248 Building & Grounds Maintenance	\$1,000.00	\$900.00	\$0.00	\$900.00	0.00%
1251 Gas					
1252 Electricity					
1253 Water					
1255 Utilities, NEC					
1261 Postage	\$76,700.00	\$72,000.00	\$52,276.74	\$19,723.26	72.61%
1266 Court Reporting					
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$600.00	\$0.00	\$600.00	0.00%
1275 Subscription & Information Services	\$3,000.00	\$2,500.00	\$2,291.00	\$209.00	91.64%
1276 Reg. Fees & Conf. Expenses (Employee)	\$400.00	\$300.00		\$300.00	0.00%
1277 Association Dues	\$2,200.00	\$2,000.00	\$1,765.00	\$235.00	88.25%
1279 Tuition - Employee					
1280 Copying, Photographic & Printer Services					
1281 Interviewee Expense - To Vendors					
1285 Taxes, Licenses & Fees	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1289 Contractual Services, NEC	\$11,300.00	\$9,000.00	\$3,757.72	\$5,242.28	41.75%
TRAVEL					
1291 In-State	\$9,800.00	\$9,300.00	\$3,457.59	\$5,842.41	37.18%
1292 Out-of-State	\$1,500.00	\$1,300.00		\$1,300.00	0.00%
1293 In-State (Vendor)		\$500.00	\$478.88	\$21.12	95.78%
1295 Mileage	\$1,300.00	\$1,300.00	\$1,268.60	\$31.40	97.58%
PRINTING	\$9,900.00	\$9,600.00	\$4,042.00	\$5,558.00	42.10%
COMMODITIES					
1304 Office/Library Supplies	\$14,800.00	\$14,300.00	\$6,249.87	\$8,050.13	43.71%
1306 Food for Human Consumption					
1335 Rock Salt					
1391 Household & Cleaning Supplies	\$300.00	\$300.00	\$244.71	\$55.29	81.57%
1394 Office/Library Equip., Not exc. \$100	\$100.00	\$200.00	\$101.54	\$98.46	50.77%
1395 Small Tools Not Exceeding \$100					
1397 Household Cleaning Equip. NEC \$100					
1398 Equipment, NEC	\$500.00	\$500.00	\$181.47	\$318.53	36.29%
1399 Commodities, NEC	\$700.00	\$700.00	\$196.90	\$503.10	28.13%
EQUIPMENT					
1510 Office Furniture & Equipment	\$5,000.00	\$5,000.00	\$1,974.54	\$3,025.46	39.49%
1599 Equipment NEC	\$1,000.00	\$800.00		\$800.00	0.00%
TELECOMMUNICATIONS					
1710 Repair/Maintenance Telecom					
1721 Rental, Telephone Serv. & Equip.	\$52,200.00	\$51,100.00	\$34,672.48	\$16,427.52	67.85%
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00	\$79,200.00	\$38,674.89	\$40,525.11	48.83%
1728 Videoconferencing	\$7,200.00	\$7,000.00	\$5,038.80	\$1,961.20	71.98%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00	\$2,900.00	\$0.00	\$2,900.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00	\$1,500.00	\$0.00	\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment					
OPERATION OF AUTO EQUIPMENT					
1893 Repair & Maint., Auto. Equipment	\$2,100.00	\$2,100.00	\$79.98	\$2,020.02	3.81%
1894 Parts & Fittings, Auto Equipment	\$200.00	\$200.00	\$18.00	\$182.00	9.00%
1896 Gasoline, Oil & Antifreeze	\$3,000.00	\$2,900.00	\$1,484.57	\$1,415.43	51.19%
1899 Auto. Expenses, NEC					
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					
ADMINISTRATION GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF BALANCE EXPENDITURE
PERSONAL SERVICE	\$863,100.00	\$843,800.00	\$651,371.16		77.19%
STATE PAID RETIREMENT	\$34,400.00	\$33,700.00	\$23,741.24	\$192,428.84	70.45%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$65,700.00	\$64,200.00	\$47,500.36	\$16,699.64	73.99%
CONTRACTUAL SERVICE	\$573,900.00	\$561,000.00	\$390,827.85	\$94,298.90	69.67%
TRAVEL	\$12,600.00	\$12,400.00	\$5,205.07	\$7,194.93	41.98%
PRINTING	\$9,900.00	\$9,600.00	\$4,042.00	\$5,558.00	42.10%
COMMODITIES	\$16,400.00	\$16,000.00	\$6,974.49	\$9,025.51	43.59%
EQUIPMENT	\$6,000.00	\$5,800.00	\$1,974.54	\$3,825.46	34.04%
TELECOMMUNICATIONS	\$144,900.00	\$141,700.00	\$78,386.17	\$63,313.83	55.32%
OPERATION OF AUTO EQUIPMENT	\$5,300.00	\$5,200.00	\$1,582.55	\$3,617.45	30.43%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
TOTAL	\$1,732,200.00	\$1,693,400.00	\$1,211,605.43	\$94,298.90	\$387,495.67 71.55%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: April 30, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE	
PERSONAL SERVICE	\$1,808,200.00	\$1,767,700.00	\$1,314,283.13	\$453,416.87	74.35%	
1129 State Paid Retirement	\$72,400.00	\$70,800.00	\$51,629.63	\$19,170.37	72.92%	
1161 Retirement						
1161-008 Compt. Supplemental Retirement						
1170 Social Security	\$138,400.00	\$135,300.00	\$96,800.23	\$38,499.77	71.54%	
CONTRACTUAL SERVICE						
1145 Contractual Payroll						
1202 Contractual reimbursement To Employee	\$500.00	\$500.00	\$491.00	\$9.00	98.20%	
1205 Freight Express & Drayage	\$2,700.00	\$2,600.00	\$0.00	\$2,600.00	0.00%	
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$200.00	\$0.00	\$200.00	0.00%	
1224 Repair/Maint. Machinery & Mechanical Equip						
1231 Rental, Office Equipment						
1232 Rental, Motor Vehicles						
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$100.00	\$0.00	\$100.00	0.00%	
1239 Rental, NEC	\$200.00	\$200.00	\$100.00	\$100.00	50.00%	
1242 Auditing & Management Services						
1245 Professional & Artistic Services, NEC	\$10,000.00	\$9,800.00	\$0.00	\$9,800.00	0.00%	
1266 Court Reporting & Filing Services						
1273 Advertising						
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$4,000.00	\$0.00	\$4,000.00	0.00%	
1275 Subscription & Information Services	\$600.00	\$600.00	\$0.00	\$600.00	0.00%	
1276 Reg. Fees & Conf. Expenses (Employee)	\$4,200.00	\$4,100.00	\$0.00	\$4,100.00	0.00%	
1277 Association Dues	\$1,400.00	\$1,400.00	\$60.00	\$1,340.00	4.29%	
1279 Employee Tuition & Fees	\$1,300.00	\$1,200.00	\$0.00	\$1,200.00	0.00%	
1280 Copying, Photographic & Printing Services	\$1,500.00	\$1,400.00	\$0.00	\$1,400.00	0.00%	
1284 Software	\$100.00	\$100.00	\$49.95	\$50.05	49.95%	
1285 Operating Taxes, Licenses & Fees						
1286 Travel, Non-state Employees						
1289 Contractual Services, NEC	\$100.00	\$100.00	\$0.00	\$100.00	0.00%	
TRAVEL						
1291 In-State	\$34,600.00	\$31,200.00	\$7,866.26	\$23,333.74	25.21%	
1292 Out-of-State	\$12,300.00	\$11,800.00	\$0.00	\$11,800.00	0.00%	
1293 In-State (Vendor)	\$5,100.00	\$5,300.00	\$5,255.18	\$44.82	99.15%	
1295 Mileage	\$9,900.00	\$12,200.00	\$12,109.84	\$90.16	99.26%	
PRINTING	\$7,000.00	\$6,800.00	\$43.10	\$6,756.90	0.63%	
EQUIPMENT						
1510 Office Furniture & Equipment	\$1,900.00	\$1,800.00	\$259.99	\$1,540.01	14.44%	
LUMP SUMS & OTHER PURPOSES						
1991 Interest Payments						
HAVA - Maintenance of Effort	\$550,000.00	\$537,700.00	\$241,416.16	\$296,283.84	44.90%	
ELECTION CODE BOOKS	\$17,500.00	\$17,100.00	\$0.00	\$17,100.00	0.00%	
Redistricting						
Election Judge Reimbursements (Fund 802)	\$5,043,000.00	\$5,043,000.00	\$4,010,830.00	\$1,032,170.00	79.53%	
Stipends (Fund 802)	\$799,500.00	\$799,500.00	\$0.00	\$799,500.00	0.00%	
Early Voting Election Judges						
State HAVA Match						
Voting System Integrity Center						
Electronic Canvassing Implementation						
Electronic Canvassing Interest Payments						
IDIS Replacement System						
ELECTIONS GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE	
PERSONAL SERVICE	\$1,808,200.00	\$1,767,700.00	\$1,314,283.13	\$453,416.87	74.35%	
STATE PAID RETIREMENT	\$72,400.00	\$70,800.00	\$51,629.63	\$19,170.37	72.92%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$138,400.00	\$135,300.00	\$96,800.23	\$38,499.77	71.54%	
CONTRACTUAL SERVICE	\$26,900.00	\$26,300.00	\$700.95	\$25,599.05	2.67%	
TRAVEL	\$61,900.00	\$60,500.00	\$25,231.28	\$35,268.72	41.70%	
PRINTING	\$7,000.00	\$6,800.00	\$43.10	\$6,756.90	0.63%	
EQUIPMENT	\$1,900.00	\$1,800.00	\$259.99	\$1,540.01	14.44%	
HAVA - Maintenance of Effort	\$550,000.00	\$537,700.00	\$241,416.16	\$142,238.34	\$154,045.50	44.90%
ELECTION CODE BOOKS	\$17,500.00	\$17,100.00	\$0.00	\$17,100.00	0.00%	
Redistricting	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
Election Judge Reimbursements (FUND 802)	\$5,043,000.00	\$5,043,000.00	\$4,010,830.00	\$1,032,170.00	79.53%	
Stipends (FUND 802)	\$799,500.00	\$799,500.00	\$0.00	\$799,500.00	0.00%	
Early Voting Election Judges	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
State HAVA Match	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
Voting System Integrity Center	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
Electronic Canvassing Implementation	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
Electronic Canvassing Interest Payments	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
IDIS Replacement System	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
TOTAL	\$8,526,700.00	\$8,466,500.00	\$5,741,194.47	\$142,238.34	\$2,583,067.19	67.81%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: April 30, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,700.00	\$337,100.00	\$265,876.38	\$71,223.62	78.87%
1129 State Paid Retirement	\$13,800.00	\$13,500.00	\$10,644.99	\$2,855.01	78.85%
1161 Retirement					
1170 Social Security	\$26,400.00	\$25,800.00	\$19,614.93	\$6,185.07	76.03%
CONTRACTUAL SERVICE					
1145 Contractual Payroll					
1202 Reimbursement to Employee	\$1,200.00	\$1,200.00	\$1,146.00	\$54.00	95.50%
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment					
1244 Legal Fees	\$74,900.00	\$73,300.00	\$29,952.50	\$43,347.50	40.86%
1245 Professional & Artistic Services, NEC					
1266 Court Reporting & Filing Services	\$15,000.00	\$14,700.00	\$6,817.75	\$7,882.25	46.38%
1273 Advertising					
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$1,000.00	\$172.22	\$827.78	17.22%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1277 Association Dues	\$1,500.00	\$1,300.00	\$0.00	\$1,300.00	0.00%
1279 Employee Tuition & Fees					
1280 Copying, Photographic & Printing Services					
1284 Computer Software					
1289 Contractual Services, NEC	\$5,300.00	\$5,100.00	\$4,977.23	\$122.77	97.59%
1991 Interest Payments	\$100.00	\$100.00	\$98.07	\$1.93	98.07%
TRAVEL					
1291 In-State	\$6,400.00	\$6,000.00	\$2,421.96	\$3,578.04	40.37%
1292 Out-of-State	\$1,500.00	\$1,400.00	\$0.00	\$1,400.00	0.00%
1293 In-State (Vendor)	\$500.00	\$600.00	\$531.72	\$68.28	88.62%
1295 Mileage	\$1,600.00	\$1,800.00	\$1,763.52	\$36.48	97.97%
EQUIPMENT					
1510 Office Furniture & Equipment	\$500.00	\$400.00	\$0.00	\$400.00	0.00%
LUMP SUMS & OTHER PURPOSES					

GENERAL COUNSEL GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,700.00	\$337,100.00	\$265,876.38		\$71,223.62	78.87%
STATE PAID RETIREMENT	\$13,800.00	\$13,500.00	\$10,644.99		\$2,855.01	78.85%
RETIREMENT	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$26,400.00	\$25,800.00	\$19,614.93		\$6,185.07	76.03%
CONTRACTUAL SERVICE	\$101,000.00	\$98,700.00	\$43,163.77	35,047.50	\$20,488.73	43.73%
TRAVEL	\$10,000.00	\$9,800.00	\$4,717.20		\$5,082.80	48.13%
EQUIPMENT	\$500.00	\$400.00	\$0.00		\$400.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$496,400.00	\$485,300.00	\$344,017.27	\$35,047.50	\$106,235.23	70.89%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: April 30, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$842,700.00	\$823,900.00	\$581,717.94	\$242,182.06	70.61%
1129 State Paid Retirement	\$33,700.00	\$33,100.00	\$23,280.03	\$9,819.97	70.33%
1161 Retirement					
1161-0008 Compt. Supplemental Retirement					
1170 Social Security	\$64,500.00	\$63,100.00	\$42,596.87	\$20,503.13	67.51%
CONTRACTUAL SERVICE					
1202 Employee Reimbursement	\$100.00	\$100.00	\$47.90	\$52.10	47.90%
1205 Freight Express & Drayage	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment					
1225 Repair & Maint, EDP					
1229 Repair & Maint, NEC					
1232 Rental, Motor Vehicles					
1239 Rental, NEC					
1245 Professional & Artistic Services, NEC					
1248 Building & Ground Maintenance					
1266 Court Reporting & Filing Services	\$500.00	\$500.00	\$422.50	\$77.50	84.50%
1274 Reg Fees & Conf. Expenses (Vendor)					
1275 Subscription & Information Services					
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,100.00	\$1,100.00	\$1,100.00	\$0.00	100.00%
1277 Association Dues					
1279 Employee Tuition and Fees	\$300.00	\$200.00	\$0.00	\$200.00	0.00%
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$200.00	\$200.00	\$120.00	\$80.00	60.00%
TRAVEL					
1291 In-State	\$7,300.00	\$6,700.00	\$7.20	\$6,692.80	0.11%
1292 Out-of-State	\$3,800.00	\$3,600.00	\$1,527.59	\$2,072.41	42.43%
1293 In-State (Vendor)		\$500.00	\$478.88	\$21.12	95.78%
1295 Mileage	\$200.00	\$200.00	\$163.57	\$36.43	81.79%
PRINTING	\$10,600.00	\$10,400.00	\$1,673.00	\$8,727.00	16.09%
EQUIPMENT					
1510 Office Furniture & Equipment	\$500.00	\$400.00	\$0.00	\$400.00	0.00%
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					

CAMPAIGN DISCLOSURE GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$842,700.00	\$823,900.00	\$581,717.94		\$242,182.06	70.61%
STATE PAID RETIREMENT	\$33,700.00	\$33,100.00	\$23,280.03		\$9,819.97	70.33%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$64,500.00	\$63,100.00	\$42,596.87		\$20,503.13	67.51%
CONTRACTUAL SERVICE	\$2,300.00	\$2,200.00	\$1,690.40		\$509.60	76.84%
TRAVEL	\$11,300.00	\$11,000.00	\$2,177.24		\$8,822.76	19.79%
PRINTING	\$10,600.00	\$10,400.00	\$1,673.00		\$8,727.00	16.09%
EQUIPMENT	\$500.00	\$400.00	\$0.00		\$400.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$965,600.00	\$944,100.00	\$653,135.48	\$0.00	\$290,964.52	69.18%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: April 30, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$803,700.00	\$785,800.00	\$615,989.05	\$169,810.95	78.39%
1129 State Paid Retirement	\$32,200.00	\$31,500.00	\$22,135.19	\$9,364.81	70.27%
1161 Retirement					
1161-0008 Compt. Supplemental Retirement					
1170 Social Security	\$61,500.00	\$60,100.00	\$45,799.32	\$14,300.68	76.21%
CONTRACTUAL SERVICE					
1145 Contractual Payroll					
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment	\$12,000.00	\$11,700.00	\$0.00	\$11,700.00	0.00%
1223 Repair/Maint. Real Property					
1225 Repair/Maint. EDP Equipment	\$120,400.00	\$117,700.00	\$28,717.63	\$88,982.37	24.40%
1230 In-House Repair of Equipment					
1234 Rental, Machinery and Mech. Equip					
1239 Rental, NEC	\$1,800.00	\$1,700.00	\$1,562.50	\$137.50	91.91%
1242 Auditing & Management Services					
1244 Legal Fees					
1245 Professional & Artistic Services, NEC	\$323,400.00	\$316,200.00	\$0.00	\$316,200.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$1,000.00	\$708.00	\$292.00	70.80%
1272 Travel & Expense Reimbursement (Vendor)					
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$5,800.00	\$0.00	\$5,800.00	0.00%
1275 Subscription & Information Services	\$600.00	\$600.00	\$0.00	\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)					
1277 Association Dues					
1279 Employee Tuition and Fees	\$8,000.00	\$7,800.00	\$3,190.00	\$4,610.00	40.90%
1284 Computer Software	\$150,500.00	\$147,200.00	\$29,258.13	\$117,941.87	19.88%
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
TRAVEL					
1291 In-State	\$5,200.00	\$5,100.00	\$2,105.18	\$2,994.82	41.28%
1292 Out-of-State	\$5,400.00	\$5,200.00	\$0.00	\$5,200.00	0.00%
1293 In-State (Vendor)					
1295 Mileage	\$300.00	\$300.00	\$209.44	\$90.56	69.81%
PRINTING	\$700.00	\$600.00	\$0.00	\$600.00	0.00%
COMMODITIES					
1304 Office/Library Supplies	\$22,800.00	\$21,700.00	\$6,430.58	\$15,269.42	29.63%
1332 Industrial & Shop Materials					
1394 Office & Library Equipment Under \$100	\$800.00	\$900.00	\$865.72	\$34.28	96.19%
1395 Small Tools < \$100					
1398 Equipment, NEC	\$400.00	\$600.00	\$564.25	\$35.75	94.04%
1399 Commodities, NEC		\$300.00	\$235.21	\$64.79	78.40%
EQUIPMENT					
1510 Office Furniture & Equipment	\$400.00	\$400.00	\$337.99	\$62.01	84.50%
1515 EDP Equipment	\$159,400.00	\$155,800.00	\$118,919.07	\$36,880.93	76.33%
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					

INFORMATION TECHNOLOGY GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$803,700.00	\$785,800.00	\$615,989.05		\$169,810.95	78.39%
STATE PAID RETIREMENT	\$32,200.00	\$31,500.00	\$22,135.19		\$9,364.81	70.27%
RETIREMENT	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$61,500.00	\$60,100.00	\$45,799.32		\$14,300.68	76.21%
CONTRACTUAL SERVICE	\$624,700.00	\$610,700.00	\$63,436.26	\$49,494.65	\$497,769.09	10.39%
TRAVEL	\$10,900.00	\$10,600.00	\$2,314.62		\$8,285.38	21.84%
PRINTING	\$700.00	\$600.00	\$0.00		\$600.00	0.00%
COMMODITIES	\$24,000.00	\$23,500.00	\$8,095.76		\$15,404.24	34.45%
EQUIPMENT	\$159,800.00	\$156,200.00	\$119,257.06		\$36,942.94	76.35%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,717,500.00	\$1,679,000.00	\$877,027.26	\$49,494.65	\$752,478.09	52.24%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM
MONTH ENDING: April 30, 2015

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE		\$351,209.77
1129 State Paid Retirement		\$14,054.51
1161 Retirement		
1170 Social Security		\$25,626.27
CONTRACTUAL SERVICE		
1205 Freight Express & Drayage		
1221 Repair/Maint. Furn./Office Equipment		
1232 Rental Motor Vehicles		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding Services		
1261 Postage		
1266 Court Reporting & Filing Services		
1274 Reg. Fees & Conf. Expenses (Vendor)		
1275 Subscriptions		
1276 Reg. Fees & Conf. Expenses (Employee)		
1277 Association Dues		
1279 Employee Tuition & Fees		
1280 Copying, Photographic & Printing Services		
1284 Computer Software		\$8,302.80
1286 Travel, Non-State Employee		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		\$250.00
1292 Out-of-State		
1293 In-State (Vendor)		
1295 Mileage		\$847.27
PRINTING		
COMMODITIES		
1304 Office Supplies		
1398 Equipment Less than \$100		
EQUIPMENT		
1510 Office Furniture & Equipment		
LUMP SUMS & OTHER PURPOSES		
1991 Interest Payments		
LOCAL GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

SUPP. APPROP. - IVRS

	Original Approp.	Reduced Approp.
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$577,200.00	\$564,200.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES		\$400,290.62
REMAINING LUMP SUM APPROPRIATION		\$163,909.38
LUMP SUM - GRANTS APPROP FOR YEAR	\$3,378,000.00	\$3,301,200.00
TOTAL LUMP SUM - GRANT EXPENDITURES		\$0.00
REMAINING LUMP SUM APPROPRIATION		\$3,301,200.00
LUMP SUM TOTAL APPROPRIATION	\$3,955,200.00	\$3,865,400.00
TOTAL LUMP SUM EXPENDITURES		\$400,290.62
REMAINING LUMP SUM APPROPRIATION		\$3,465,109.38

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: April 30, 2015</u>						
CASH BALANCE FROM FY14	\$553,557.44	\$0.00	\$0.00	\$3,555,326.11	\$3,559,543.77	\$7,668,427.32
Program Revenues from Federal Govt			\$374,690.60			\$374,690.60
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$1,746.24			\$8,183.02	\$7,803.74	\$17,733.00
Interest Penalties Received	\$29.20					\$29.20
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$1,775.44	\$0.00	\$374,690.60	\$8,183.02	\$7,803.74	\$392,452.80
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$4,336.00	\$0.00	\$0.00	\$12,999.53	\$0.00	\$17,335.53
TRAVEL	\$9,999.03	\$0.00	\$0.00	\$0.00	\$0.00	\$9,999.03
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$504.79	\$0.00	\$0.00	\$0.00	\$0.00	\$504.79
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$14,839.82	\$0.00	\$0.00	\$12,999.53	\$0.00	\$27,839.35
AWARDS & GRANTS	\$0.00	\$0.00	\$374,690.60	\$2,500,000.00	\$2,261,236.50	\$5,135,927.10
TOTAL CASH EXPENDITURES	\$14,839.82	\$0.00	\$374,690.60	\$2,512,999.53	\$2,261,236.50	\$5,163,766.45
CASH BALANCE AT END OF MONTH	\$540,493.06	\$0.00	\$0.00	\$1,050,509.60	\$1,306,111.01	\$2,897,113.67

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: April 30, 2015

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1286 Travel, Reimb. to Non-State Employees
1289 Contractual Services, NEC

\$520.00

\$520.00

\$3,816.00

\$3,816.00

TRAVEL

1291 In-State & 1293 In-State (to vendor)
1292 Out-of-State
1295 Mileage

\$1,599.04

\$1,599.04

\$6,536.39

\$6,536.39

\$1,863.60

\$1,863.60

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

\$504.79

\$504.79

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$0.00

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments (PPA)
4470 Grants to Local Governments (EAL)
4470 Grants to Local Governments (AAG)
4470 Grants to Local Governments
4479 Payments to Other State Agencies

SECTION 101 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

GROUP INSURANCE

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$4,336.00

\$4,336.00

TRAVEL

\$9,999.03

\$9,999.03

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$504.79

\$504.79

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$0.00

\$0.00

GRANTS

\$0.00

\$0.00

TOTAL

\$14,839.82

\$14,839.82

\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: April 30, 2015

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

<u>SECTION 102 GRAND TOTAL</u>	<u>FY15</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: April 30, 2015

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV)
4470 Grants to Local Governments (VAID V)

\$374,690.60

\$374,690.60

SECTION 261 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$0.00

\$0.00

GRANTS

\$374,690.60

\$374,690.60

TOTAL

\$374,690.60

\$374,690.60

\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: April 30, 2015

FY15
APPROPRIATION **YEAR TO DATE**
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$0.00		
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$8,702.10	\$8,702.10	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$4,297.43	\$4,297.43	
1289 Contractual Services, NEC			

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase III)	\$2,500,000.00	\$2,500,000.00	
4453 Reimbursement to Govt Units (ALA)			
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			

SECTION 251 (OLD REQ) GRAND TOTAL

FY15
APPROPRIATION **YEAR TO DATE**
EXPENDITURE **OBLIGATED**
MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$12,999.53	\$12,999.53	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$2,500,000.00	\$2,500,000.00	
TOTAL	\$2,512,999.53	\$2,512,999.53	\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: April 30, 2015

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (Phase III)
4479 Payments to Other State Agencies

\$2,261,236.50

\$2,261,236.50

SECTION 251 (NEW REQ) GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$2,261,236.50	\$2,261,236.50

TOTAL	\$2,261,236.50	\$2,261,236.50	\$0.00
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Start Date	End Date	Activity	Division
4 /17/2015		(date subject to change) 3rd Reading Deadline Final day on which SENATE bills may be called for final action on 3rd reading in the SENATE. (Senate Rule) Final day on which HOUSE bills may be called for a final vote on 3rd reading in the HOUSE. (House Rule)	LEG
4 /21/2015		Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight April 7, 2015. 10 ILCS 5/19-8[c] & 20-8(c)	LEG
4 /21/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
4 /29/2015		Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations).	VRS
5 /1 /2015		Complete Appointment of Election Judges dates for 2014/2016. Project started 5/4/2015 10ILCS 13-1 (1/1 2,3,4,5,7)	ET&RD
5 /1 /2015		Date upon which the State Board of Election shall notify political committees that have failed to file the 2015 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
5 /1 /2015		Notify election authorities of requirement to submit computerized voter registration information for the May 15, 2015 submission in a format prescribed by the SBE	VRS
5 /1 /2015		Begin preparation of packet materials for 2015 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed May 1, 2015. 10 ILCS 5/9-15.	CAMP DISC
5 /4 /2015		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the Consolidated General 2015 submission	VRS

Start Date	End Date	Activity	Division
5 /8 /2015		(date subject to change) Final day for which HOUSE committees may take action on SENATE bills. (House Rule) Final day for which SENATE committees may take action on HOUSE bills. (Senate Rule)	LEG
5 /8 /2015		Canvass results of Fox Waterway Agency trustees election.	EL INFO
5 /15/2015		Send draft of the 2016 CANDIDATE'S GUIDE to legal for review. Project started 1/2/2015 (Complete by 6/29/2015.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
5 /15/2015		First day for election authority to submit computerized voter registration file for the May 15, 2015 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /18/2015		BOARD MEETING 10 ILCS 5/1A7	EXEC DIR
5 /19/2015		Complete analysis of Referenda Profile for the Consolidated Election. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
5 /20/2015		Send to each election authority a current computerized voter registration error report, from the Consolidated General 2015 submission	VRS
5 /20/2015		Send notice to election authorities who failed to submit a computerized voter registration file, for the Consolidated General 2015 submission. Rules and Regulations	VRS
5 /22/2015		(date subject to change) Final day on which all HOUSE bills may be called for a final SENATE vote on 3rd reading. (Senate Rule) Final day on which all SENATE bills may be called for a final HOUSE vote on 3rd reading. (House Rule)	LEG
5 /25/2015		Last day for election authorities to submit request for extension to file computerized voter registration information for the May 15, 2015 submission. Rules and Regulations	VRS
5 /25/2015		Last day for election authority to submit computerized voter registration file for the May 15, 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /31/2015		General Assembly Adjournment (House/Senate Rule)	LEG

Start Date	End Date	Activity	Division
6 /1 /2015		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2015 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
6 /10/2015		Begin work on post session BILL RECOMMENDATIONS to the Board and Governor's office. 10 ILCS 5/1A-8(8)	LEG
6 /16/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
6 /24/2015		Send to each election authority a current computerized voter registration error report, from the May 15, 2015 submission	VRS
6 /24/2015		Send notice to election authorities who failed to submit a computerized voter registration file, for the May 15, 2015 submission. Rules and Regulations	VRS
7 /1 /2015		First day that any political committee shall file its 2015 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
7 /1 /2015		Begin preparing the LOCAL ELECTION OFFICIALS HANDBOOK for the 2017 Consolidated Elections. Publication should be ready by end of July 2016. 10 ILCS 5/1A-8 (1, 2, 3, 7, 11)	ET&RD
7 /1 /2015		Begin preparing the CANDIDATE'S GUIDE for 2017. Guide should be ready by end of July 2016. 10 ILCS 5/1A-8 (1, 2, 3, 7, 11)	ET&RD
7 /1 /2015		Complete JUDGES' TRAINING PROGRAM and JUDGES' EXAM update. Project started 4/8/2015. 10 ILCS 5/1A-8 (1, 2, 6, 11)	ET&RD
7 /1 /2015		Target date for completion of Candidates Guide for 2015. Project started 1/2/2015. 10 ILCS 5/1A-8 (1,2, 4,7,11)	ET&RD
7 /1 /2015		Start bidding process for Election Code books covering laws passed in 2014 legislative session. 10 ILCS 5/1-8(1, 2, 4 &11)	ET&RD
7 /1 /2015		STATUTORY BOARD MEETING. Swearing-in ceremony, election of chairman and vice chairman, monthly meeting. 10 ILCS 5/1A-8 (6)	EXEC DIR

- 4. Follow up.**
- 5. Comments from the general public.**
- 6. Next Board Meeting scheduled for Tuesday, June 16, 2015 in Chicago.**
- 7. Executive session.**